

Goddard Space Flight Center

# Land Information System

## The Impact of Soil Moisture and Snow Assimilation on NLDAS Drought Metrics

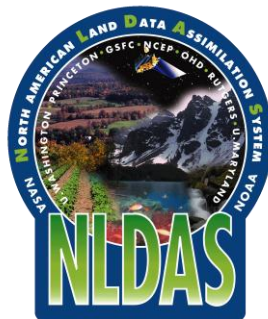
Christa Peters-Lidard<sup>1</sup>

*David Mocko<sup>2</sup>, Sujay Kumar<sup>2</sup>, Youlong Xia<sup>3</sup>, Michael Ek<sup>3</sup>*

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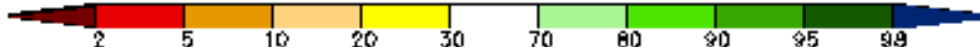
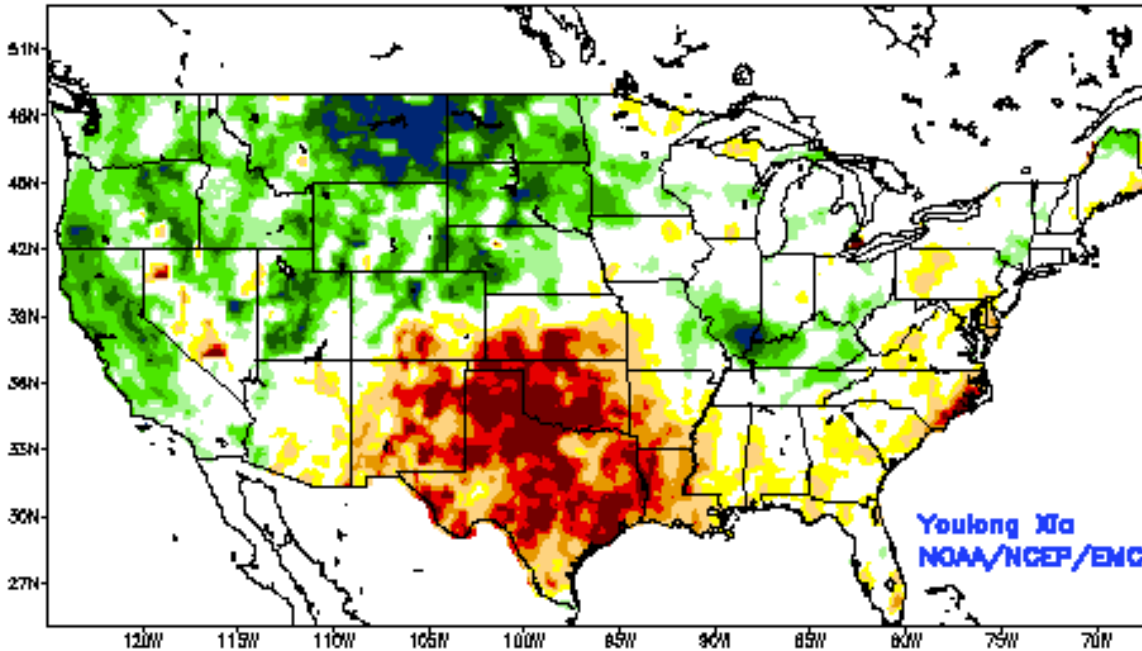


# Outline

- NLDAS Drought Monitoring Background
  - Comparisons with USDM
  - NLDAS-based objective blends
- Soil Moisture Assimilation
  - Evaluation vs. in situ Soil Moisture and Streamflow
  - Impacts on Drought Metrics
- Snow Assimilation
  - Evaluation vs. in situ SWE/Depth and Streamflow
  - Impacts on Drought Metrics

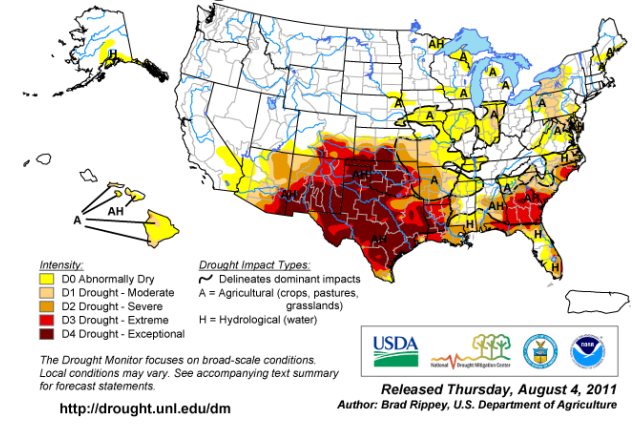
# Aug 2011

Ensemble-Mean - Past Month Total Column Soil Moisture Percentile  
NCEP NLDAS Products Valid: AUG 01, 2011

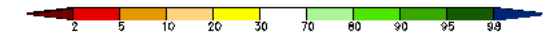
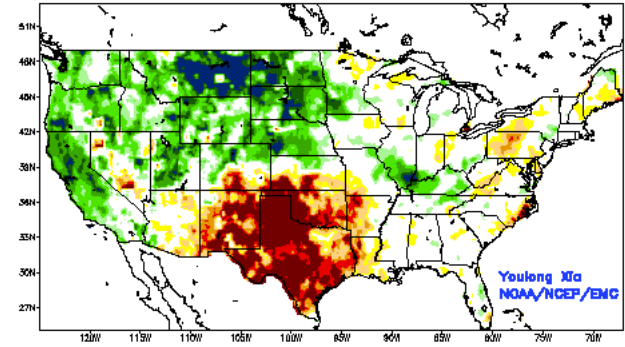


## U.S. Drought Monitor August 2, 2011

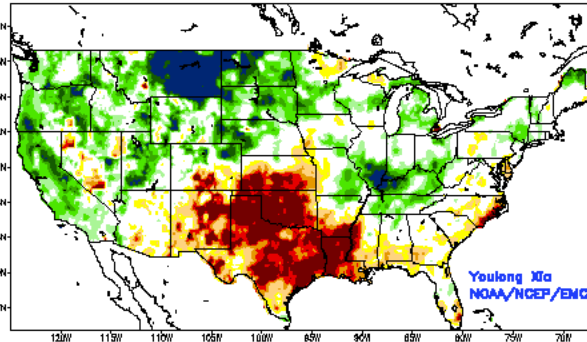
August 2, 2011  
Valid 8 a.m. EDT



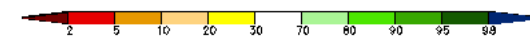
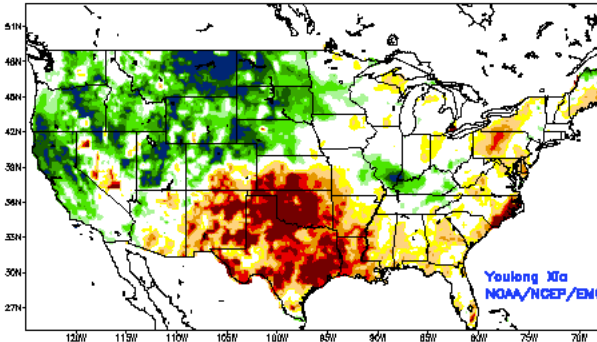
Princeton VIC - Past Month Total Column Soil Moisture Percentile  
Valid: AUG 01, 2011



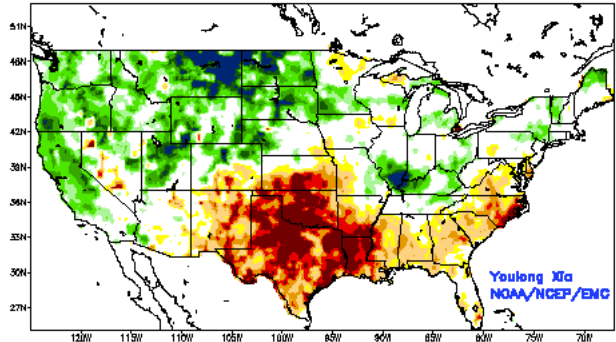
NASA Mosaic - Past Month Total Column Soil Moisture Percentile  
Valid: AUG 01, 2011



NCEP Noah - Past Month Total Column Soil Moisture Percentile  
Valid: AUG 01, 2011



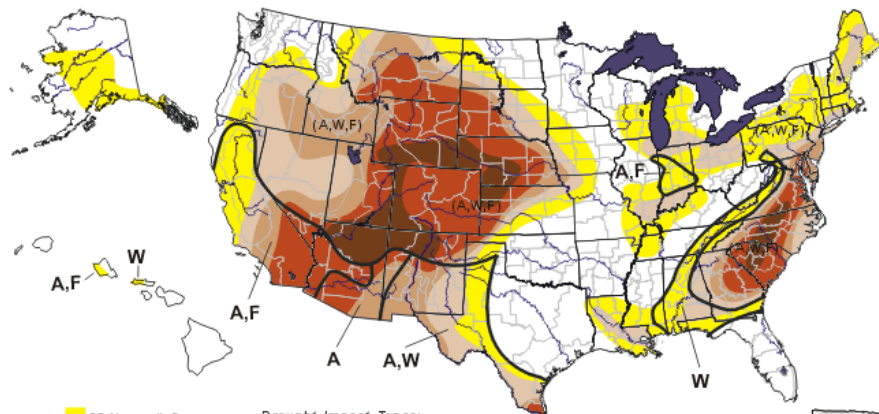
OHD SAC - Past Month Total Column Soil Moisture Percentile  
Valid: AUG 01, 2011



# Jul 2002

## U.S. Drought Monitor July 30, 2002

Valid 8 a.m. EDT



- D0 Abnormally Dry
  - D1 Drought—Moderate
  - D2 Drought—Severe
  - D3 Drought—Extreme
  - D4 Drought—Exceptional
- Drought Impact Types:**  
 A = Agriculture  
 W = Water (Hydrological)  
 F = Fire danger (Wildfires)  
 — Delineates dominant impacts  
 (N o type = All 3 impacts)

The Drought Monitor focuses on broad-scale conditions. Local conditions may vary. See accompanying text summary for forecast statements.

<http://drought.unl.edu/dm>

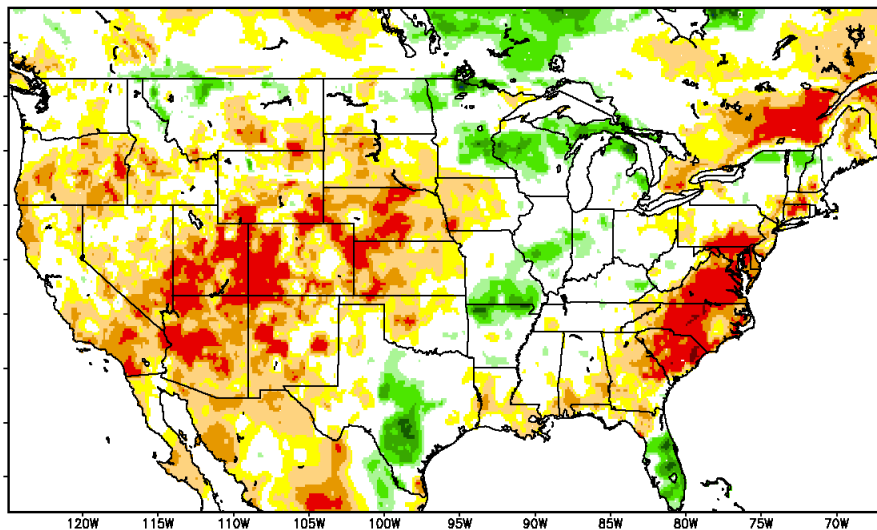


Released Thursday, August 1, 2002

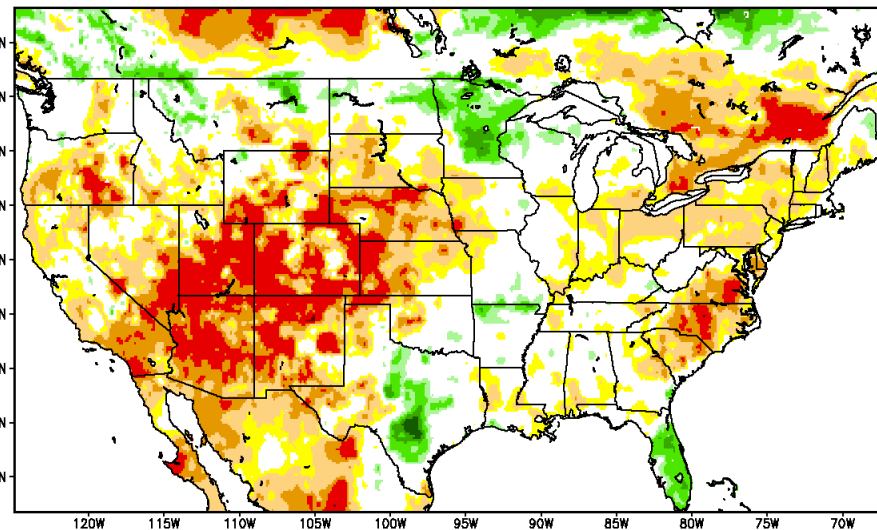
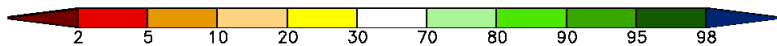
Author: Rich Tinker, CPC/NWS/NOAA

NASA Mosaic – Past Month Total Column Soil Moisture Percentile  
Valid: JUL 30, 2002

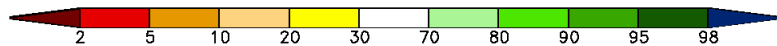
NCEP Noah – Past Month Total Column Soil Moisture Percentile  
Valid: JUL 30, 2002



120W 115W 110W 105W 100W 95W 90W 85W 80W 75W 70W



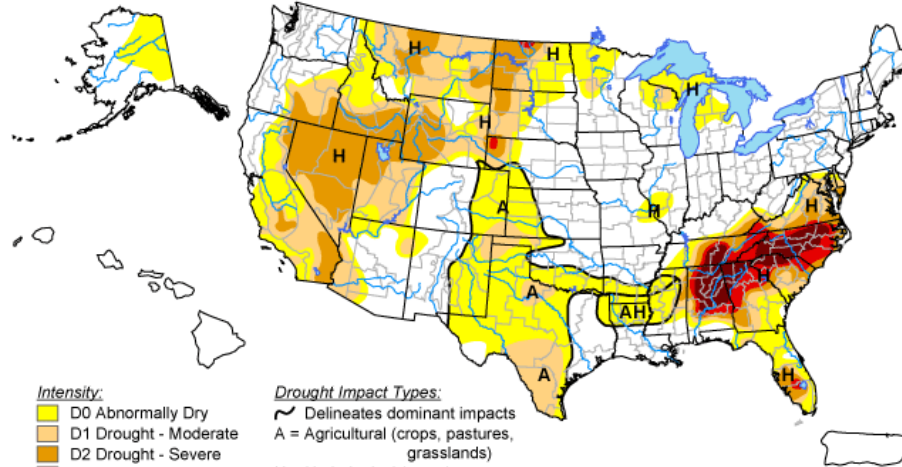
120W 115W 110W 105W 100W 95W 90W 85W 80W 75W 70W



# Jan 2008

## U.S. Drought Monitor

January 29, 2008  
Valid 7 a.m. EST



**Intensity:**  
D0 Abnormally Dry  
D1 Drought - Moderate  
D2 Drought - Severe  
D3 Drought - Extreme  
D4 Drought - Exceptional

**Drought Impact Types:**  
Delineates dominant impacts  
A = Agricultural (crops, pastures, grasslands)  
H = Hydrological (water)

The Drought Monitor focuses on broad-scale conditions. Local conditions may vary. See accompanying text summary for forecast statements.

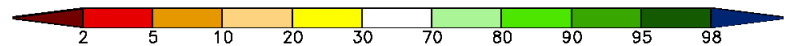
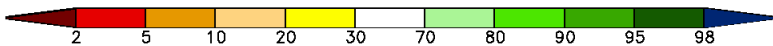
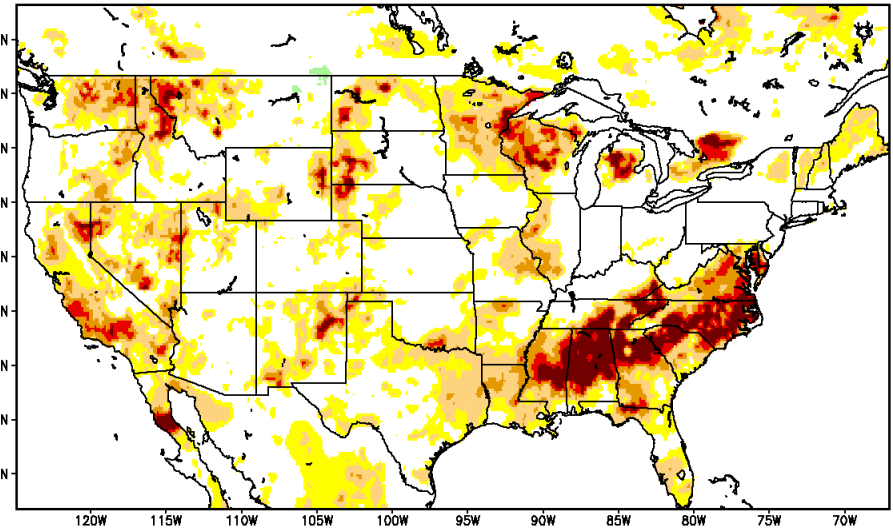
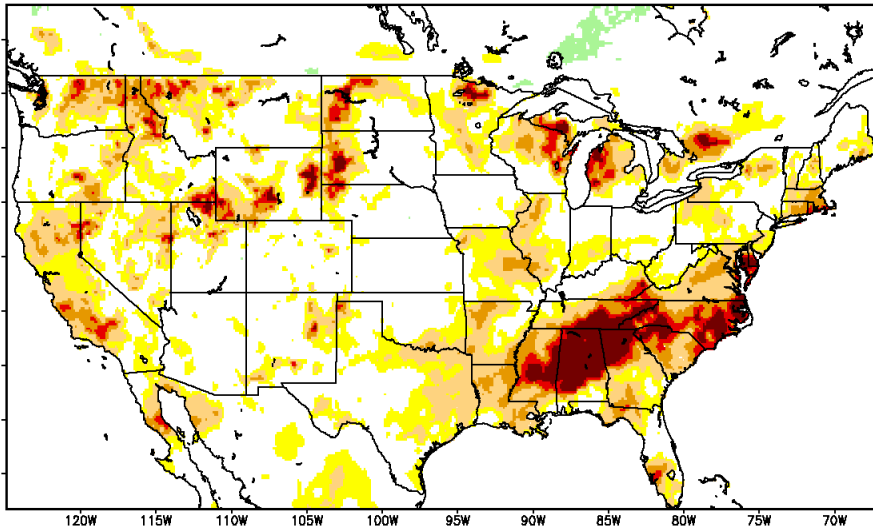
<http://drought.unl.edu/dm>



Released Thursday, January 31, 2008  
Author: David Miskus, JAWF/CPC/NOAA

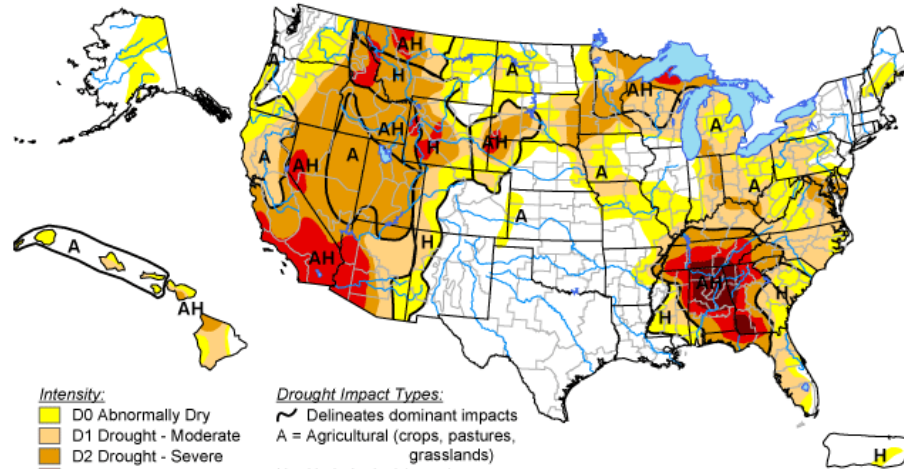
NASA Mosaic – Past Month Total Column Soil Moisture Percentile  
Valid: JAN 29, 2008

NCEP Noah – Past Month Total Column Soil Moisture Percentile  
Valid: JAN 29, 2008



# Aug 2007 U.S. Drought Monitor

August 7, 2007  
Valid 8 a.m. EDT



**Intensity:**  
 D0 Abnormally Dry  
 D1 Drought - Moderate  
 D2 Drought - Severe  
 D3 Drought - Extreme  
 D4 Drought - Exceptional

**Drought Impact Types:**  
 ~ Delineates dominant impacts  
 A = Agricultural (crops, pastures, grasslands)  
 H = Hydrological (water)

The Drought Monitor focuses on broad-scale conditions. Local conditions may vary. See accompanying text summary for forecast statements.

<http://drought.unl.edu/dm>

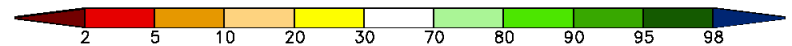
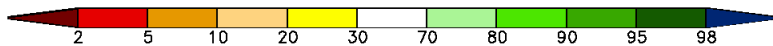
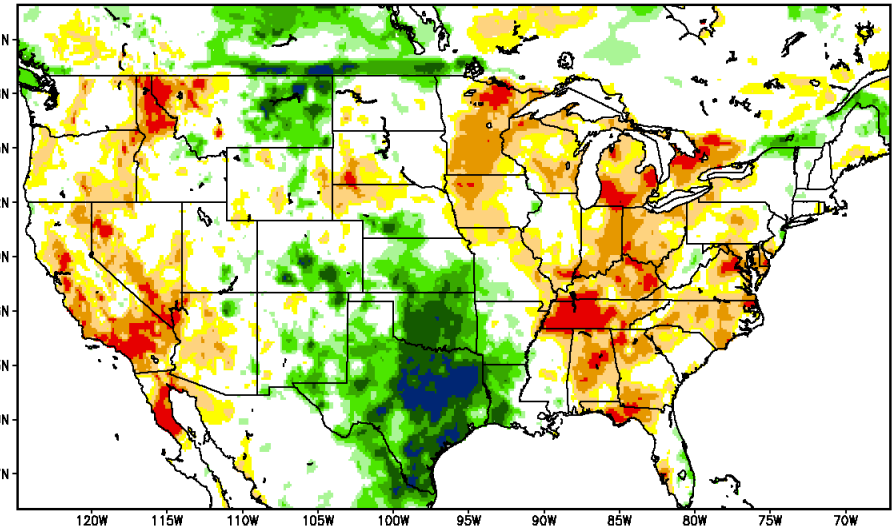
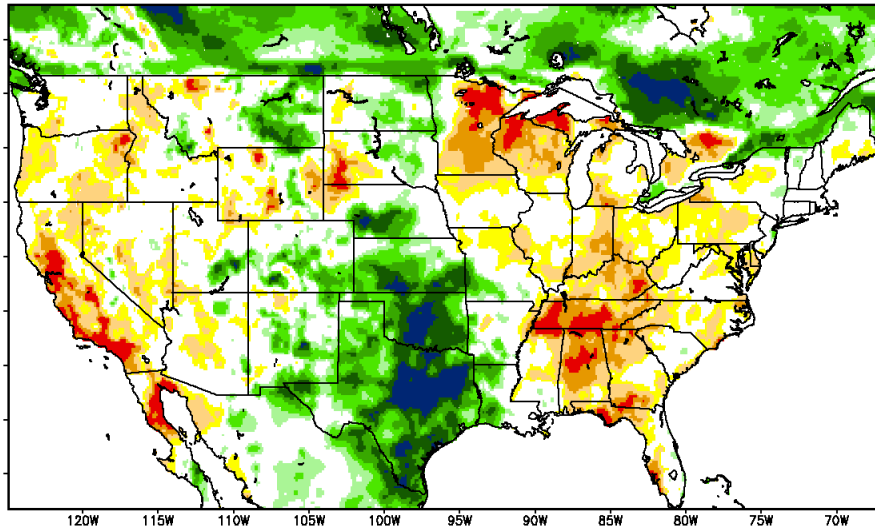


Released Thursday, August 9, 2007

Author: Brian Fuchs, National Drought Mitigation Center

NASA Mosaic – Past Month Total Column Soil Moisture Percentile  
Valid: AUG 07, 2007

NCEP Noah – Past Month Total Column Soil Moisture Percentile  
Valid: AUG 07, 2007

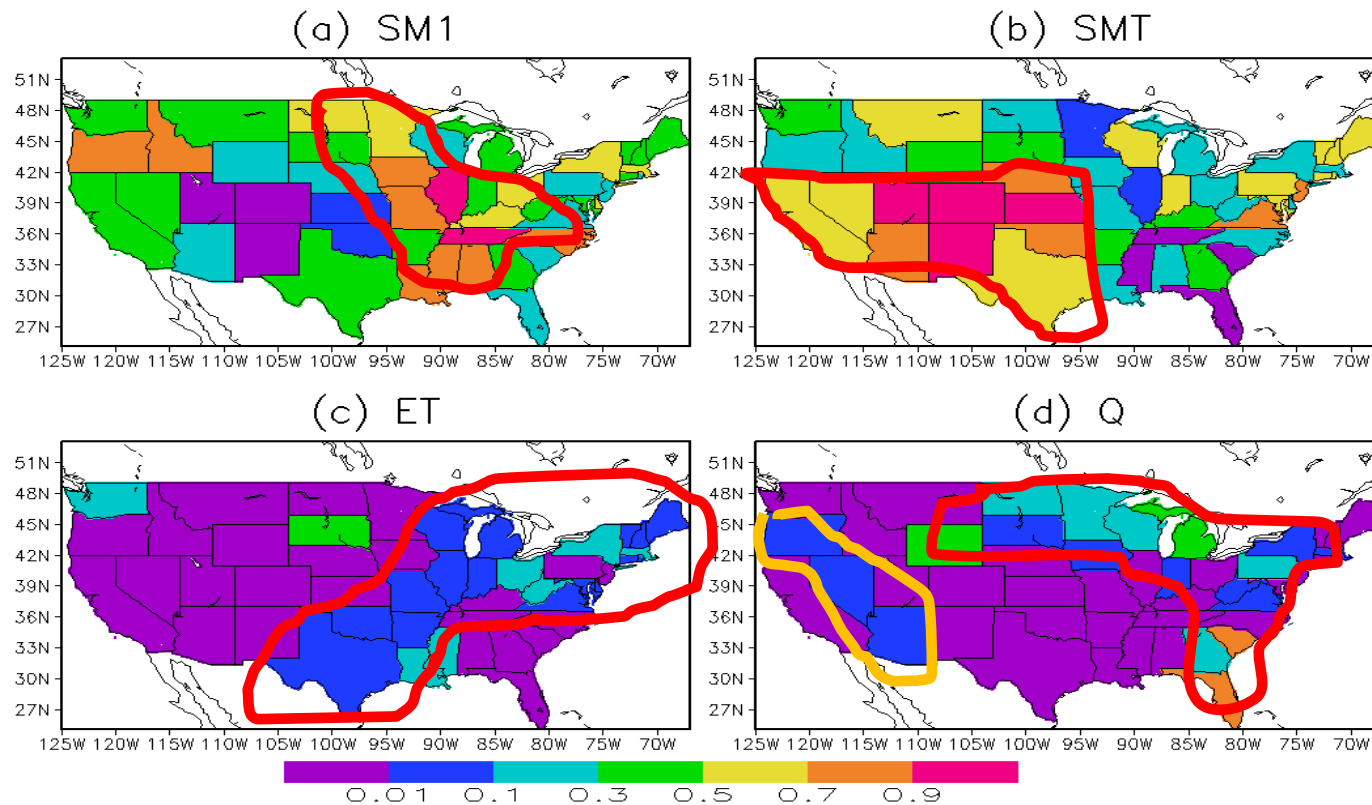


# Uncertainties, Relationships, and Optimal Blends of Ensemble-Mean NLDAS Drought Indices

Youlong Xia, Michael B. Ek, David Mocko, Christa Peters-Lidard, Justin Sheffield,  
Jiarui Dong, and Eric F. Wood

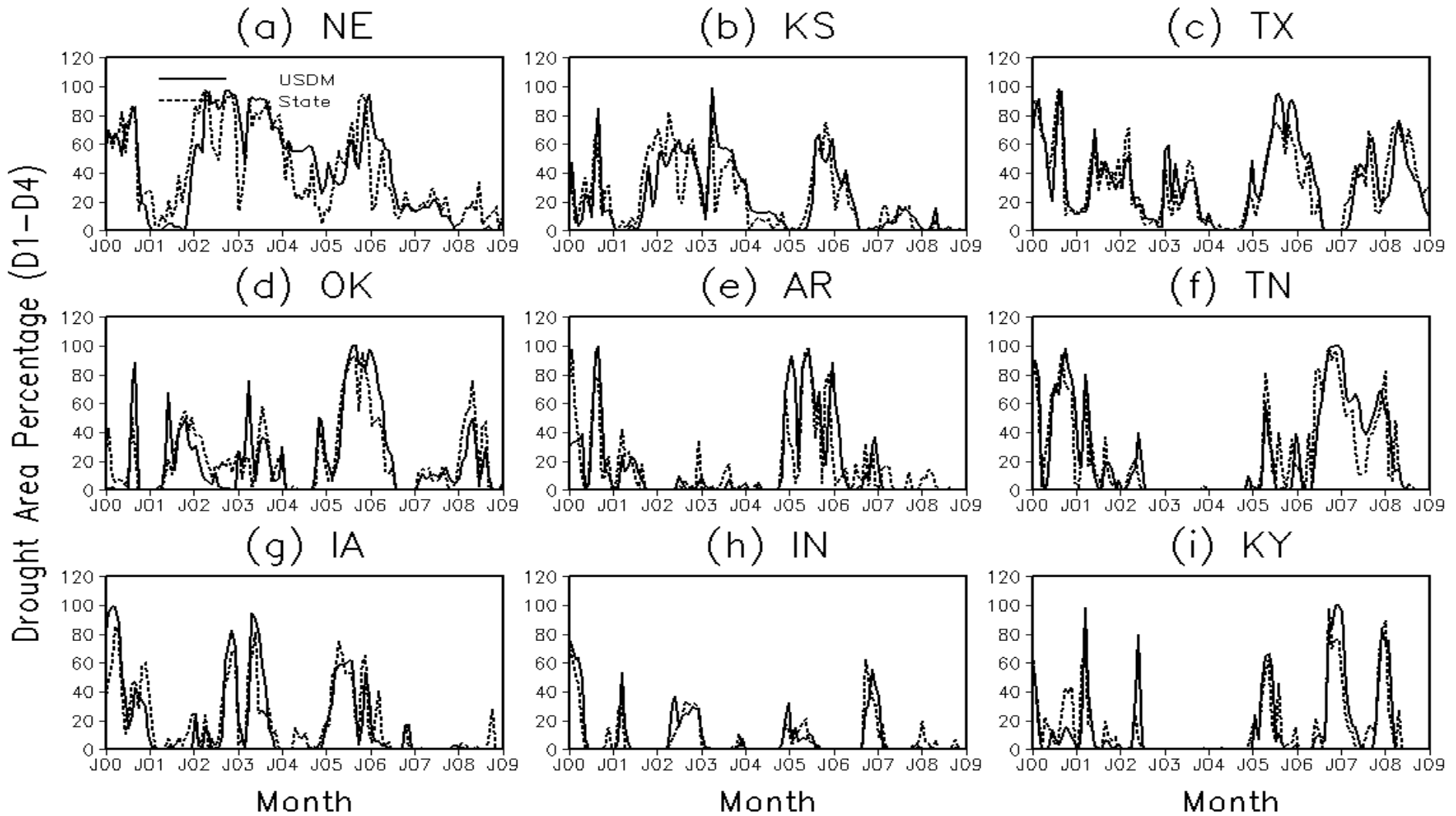
To be submitted to JHM Special Collection, 2012

## Normalized weight coefficients on NLDAS output variables optimized to match USDM state drought areas



**Reason: forest cover, weight percentage is larger for SM1 and than SMT.  
NE is wet and SM1 can represent drought variation enough. Deeper soil water has small  
variation because of its wetness.**

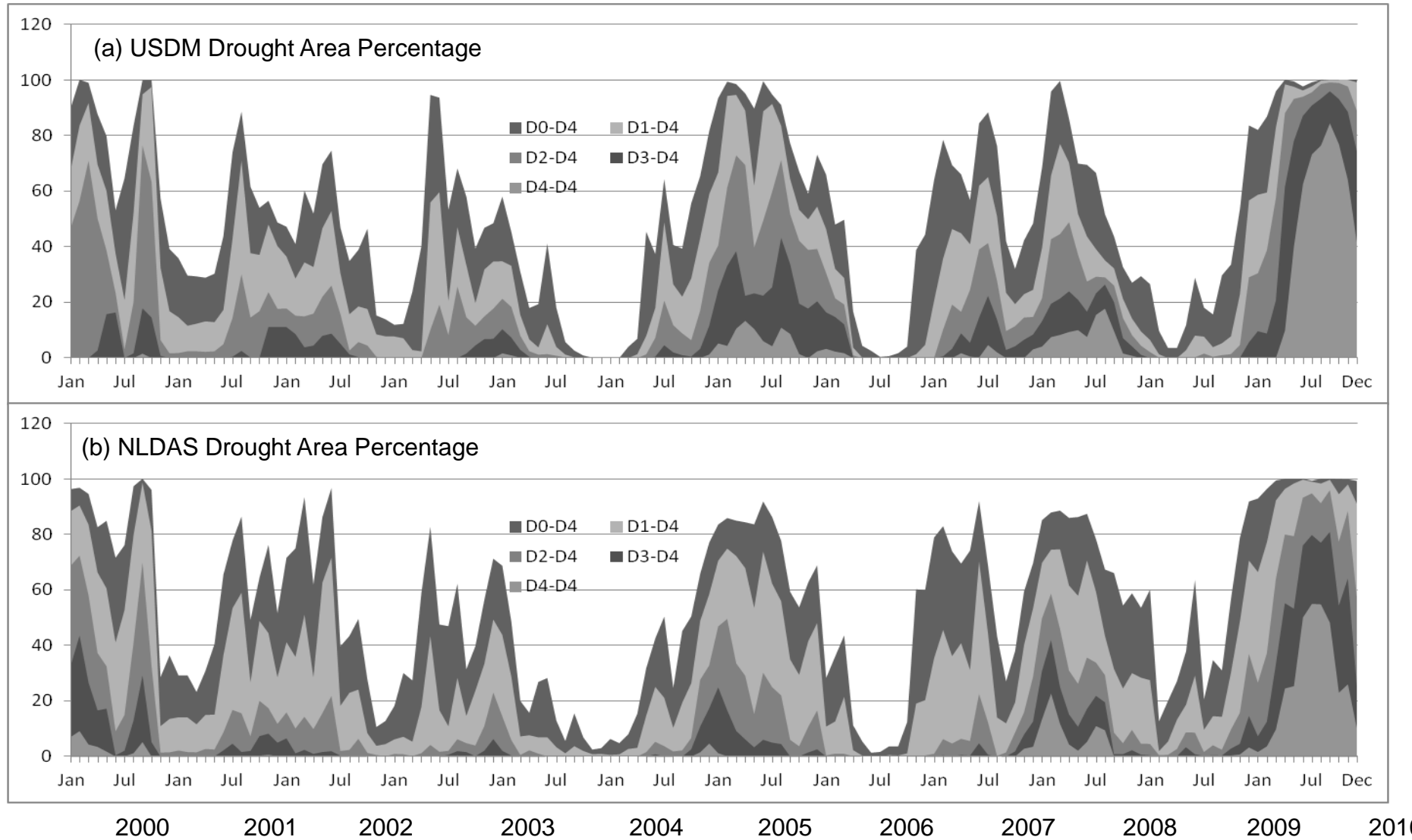
# Uncertainties, Relationships, and Optimal Blends of Ensemble-Mean NLDAS Drought Indices



**Comparison of USDM and NLDAS in the Best 9 States**



# Uncertainties, Relationships, and Optimal Blends of Ensemble-Mean NLDAS Drought Indices

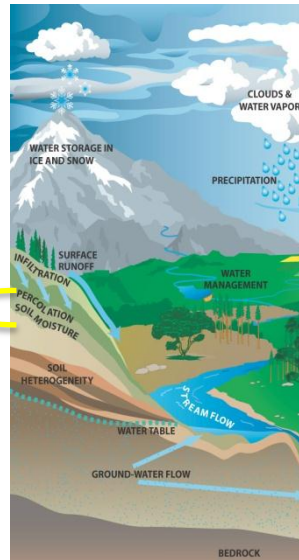


**Texas Drought Area Percentage**

# Soil Moisture Data Assimilation

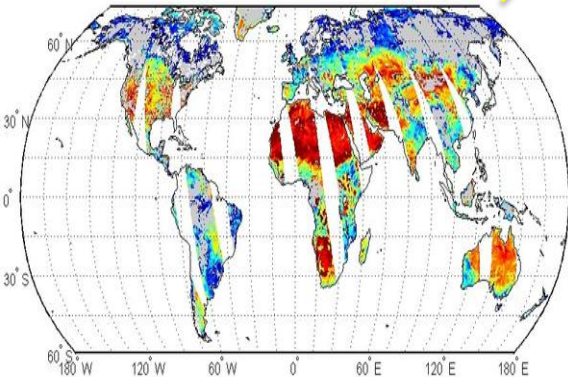
## Experimental Setup:

- Domain: CONUS, NLDAS
- Resolution: 0.125 deg.
- Period: 1979-01 to 2012-01
- Forcing: NLDASII
- LSM: Noah 3.3



## Data Assimilation:

- AMSR-E LPRM (Owe et al., 2008; Peters-Lidard et al., 2011) 2002-2011
- ESA ECV (Liu et al., 2012; Wagner et al., 2012) 1978-2011
- Flags: light and moderate vegetation, precipitation, snow cover, frozen ground, RFI
- The observations are scaled to the LSM's climatology using CDF matching
- 12-member ensemble
- A spatially distributed observation error standard deviation (between 0.02-0.12 m<sup>3</sup>/m<sup>3</sup>)



**Figure 3:** Daily soil moisture based on Aqua/AMSR-E. Future observations will be provided by SMAP.

# Evaluation of NLDAS outputs

## Soil moisture:

USDA Soil Climate Analysis Network (SCAN); 37 stations chosen after careful quality control (used for evaluations between 2000-2011)

Four USDA ARS experimental watersheds (“CalVal” sites) (used for evaluations between 2001-2011)

## Streamflow:

Gauge measurements from unregulated USGS streamflow stations (1981-2011).

## Snow depth:

Global Historical Climate Network (GHCN) – used for evaluations between 1979-2011.

Canadian Meteorological Center (CMC) daily snow depth analysis – used for evaluations between 1998-2011.

All model verifications and analysis generated using the Land surface Verification Toolkit (LVT; Kumar et al. 2012)

# Soil moisture DA (LPRM) : Evaluation of soil moisture fields

Statistically significant improvements in surface soil moisture as a result of LPRM DA, for all metrics when compared with ARS data.

ARS CalVal	Open loop	LPRM DA
Anomaly R	0.74 +/- 0.01	<b>0.76 +/- 0.01</b>
Anomaly RMSE (m3/m3)	0.032 +/- 0.001	<b>0.028 +/- 0.001</b>
ubRMSE (m3/m3)	0.038 +/- 0.002	<b>0.033 +/- 0.002</b>

Marginal degradation in anomaly R for surface surface and root zone (statically insignificant) in comparisons with SCAN.

SCAN (surface soil moisture)	Open loop (no DA)	LPRM DA
Anomaly R	0.63 +/- 0.03	0.61 +/- 0.03
Anomaly RMSE (m3/m3)	0.038 +/- 0.002	0.038 +/- 0.002
ubRMSE (m3/m3)	0.044 +/- 0.003	0.045 +/- 0.003

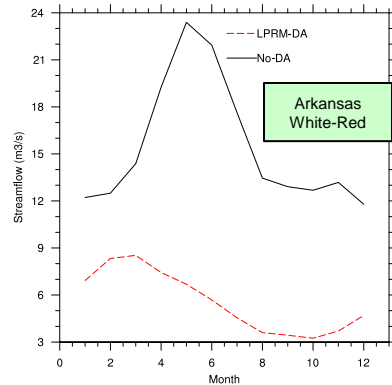
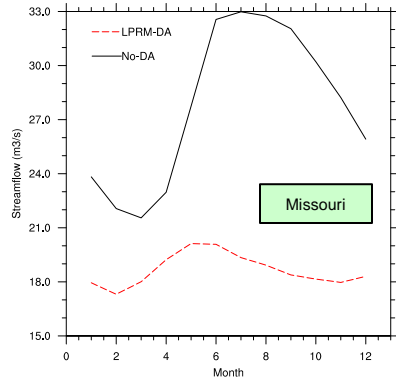
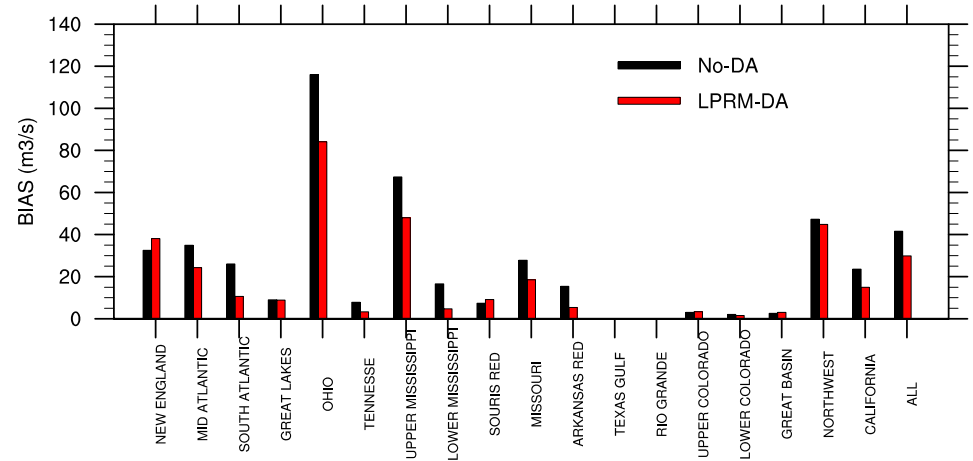
Marginal improvements in root zone estimates (again statistically insignificant) for anomaly RMSE when compared with SCAN.

SCAN (root zone soil moisture)	Open loop (no DA)	LPRM DA
Anomaly R	0.50 +/- 0.02	0.48 +/- 0.02
Anomaly RMSE (m3/m3)	0.027 +/- 0.002	0.026 +/- 0.002
ubRMSE (m3/m3)	0.033 +/- 0.003	0.032 +/- 0.003

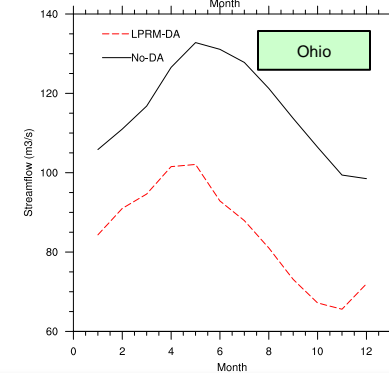
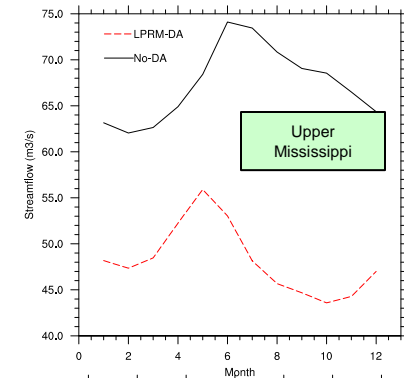
The percentage change in water cycle variables introduced by DA is largest in subsurface runoff, ET and surface runoff – consistent with the findings of Sahoo et al. (2012), AWR.

# Soil moisture DA (LPRM): Evaluation of streamflow

Streamflow (USGS)	Open loop (no DA)	LPRM DA
RMSE (m3/s)	51.0 +/- 4.0	<b>36.5 +/- 4.0</b>
Bias (m3/s)	41.6 +/- 4.0	<b>29.9 +/- 4.0</b>

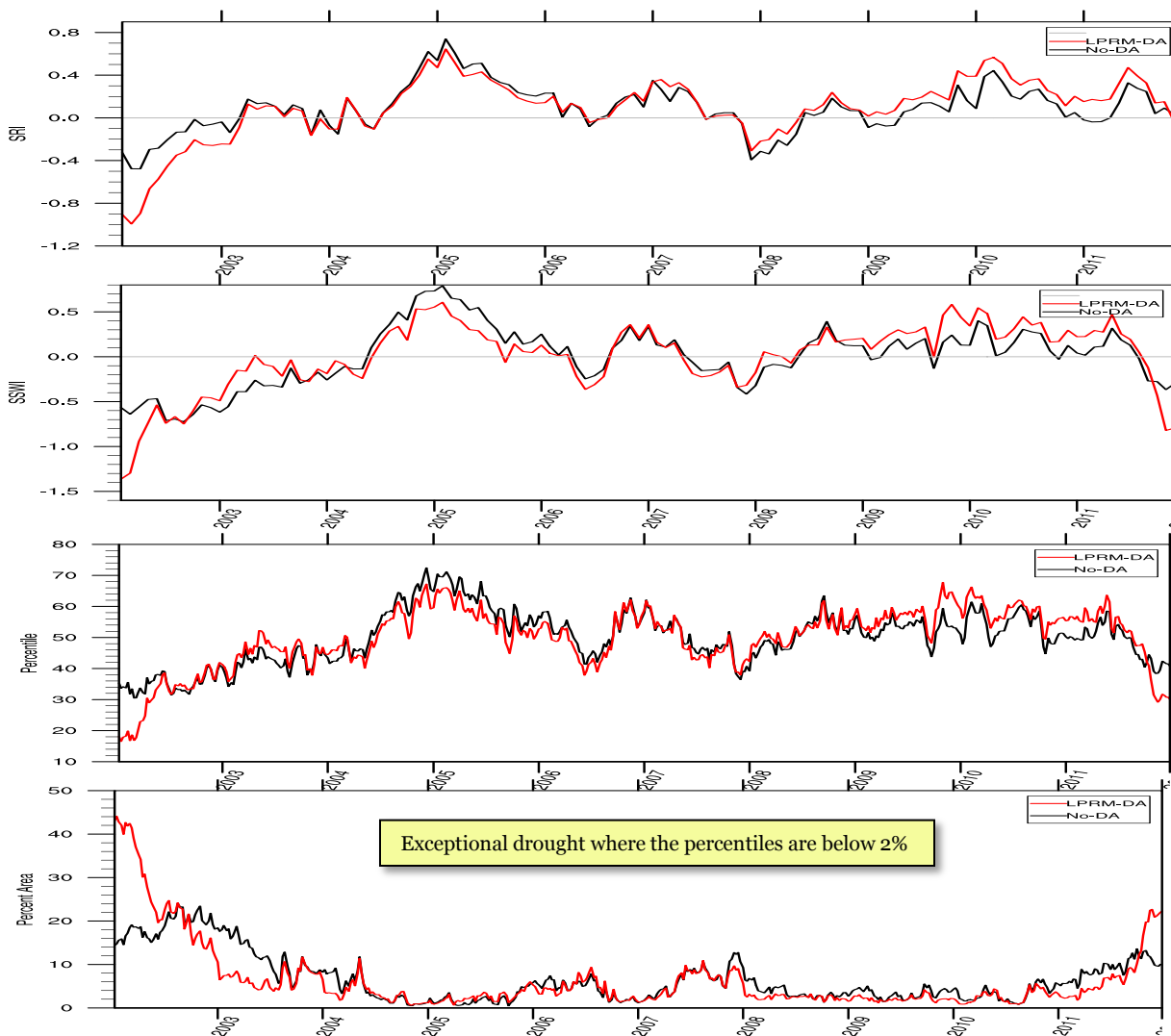


Average seasonal cycle of RMSE



Significant improvements to the streamflow simulations are observed in most basins

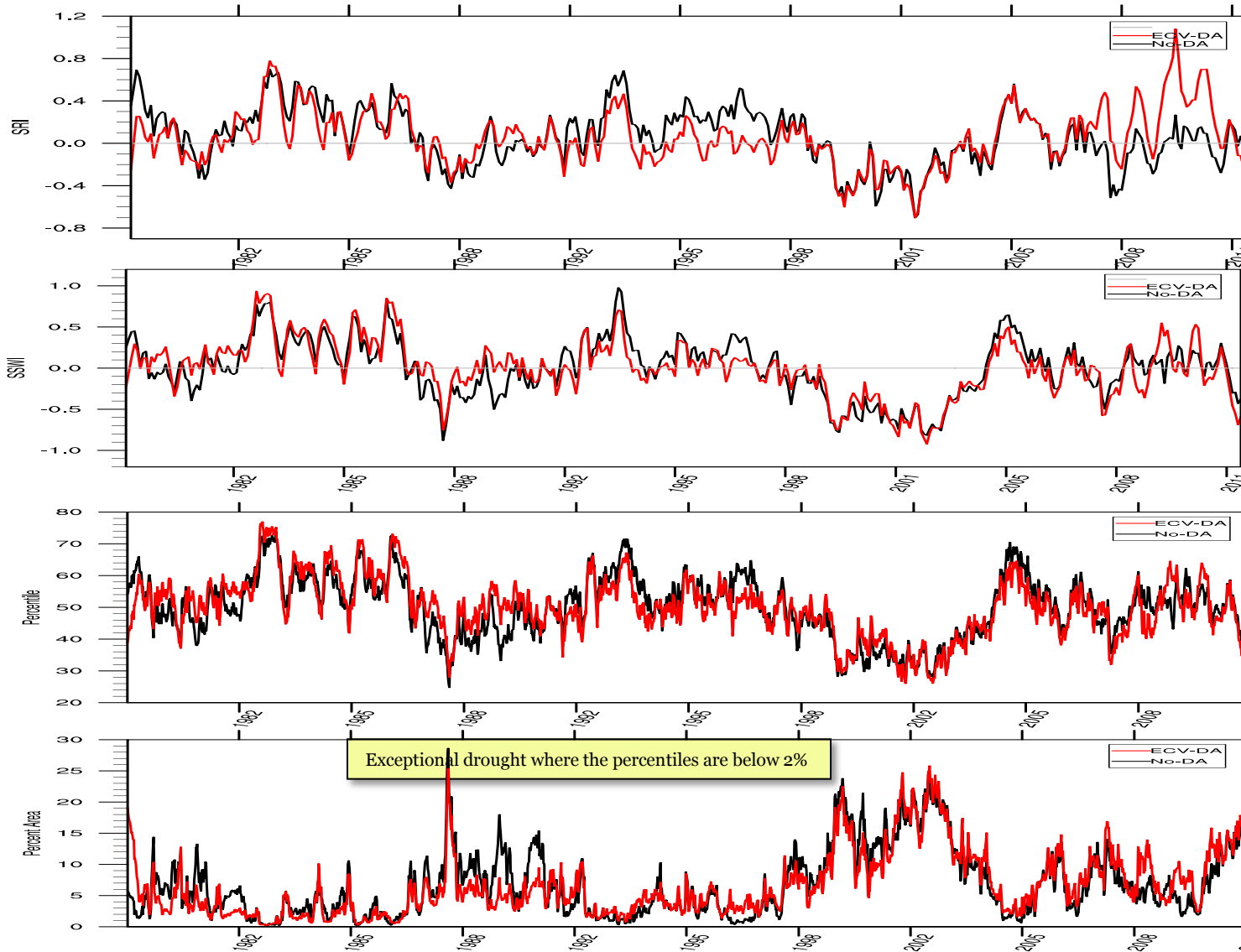
# Soil moisture DA (LPRM): Drought indices (NLDAS domain average)



SRI, SSWI, and Soil Moisture Percentiles indicate that DA causes an increased drought in early 2000s and reduced drought 2008-2011. DA also simulates an increased onset of the 2011-2012 drought.

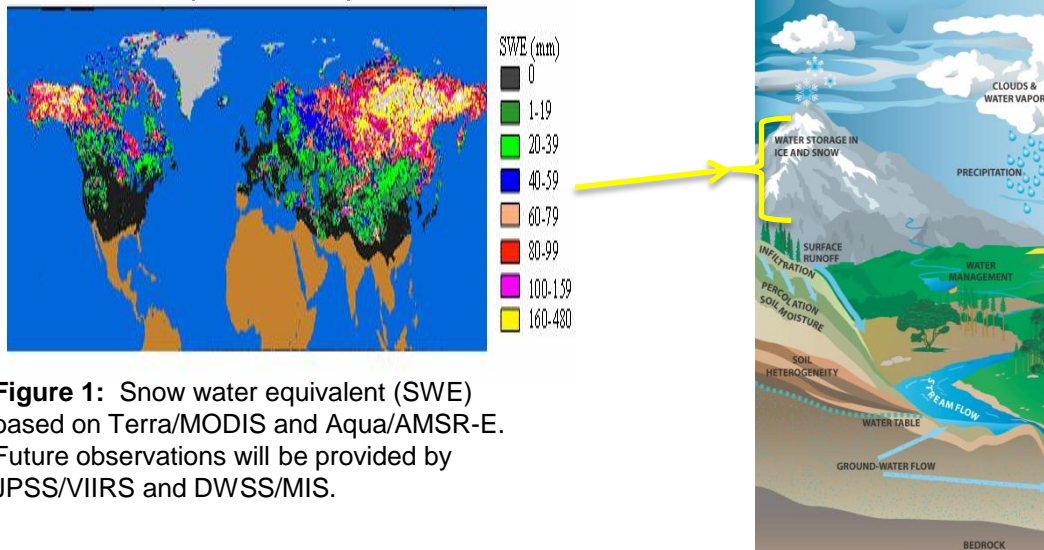
Note: The fitted distributions for SRI/SSWI/Percentiles in this analysis are computed by using 2002-2011 period.

# Soil moisture DA (ECV) : Drought indices (NLDAS domain average)



SRI, SSWI, and Soil Moisture Percentiles indicate that DA indicates an increased drought in early 2000s and reduced drought 2008-2011. DA also simulates an increased onset of the 2011-2012 drought.

# Snow Data Assimilation



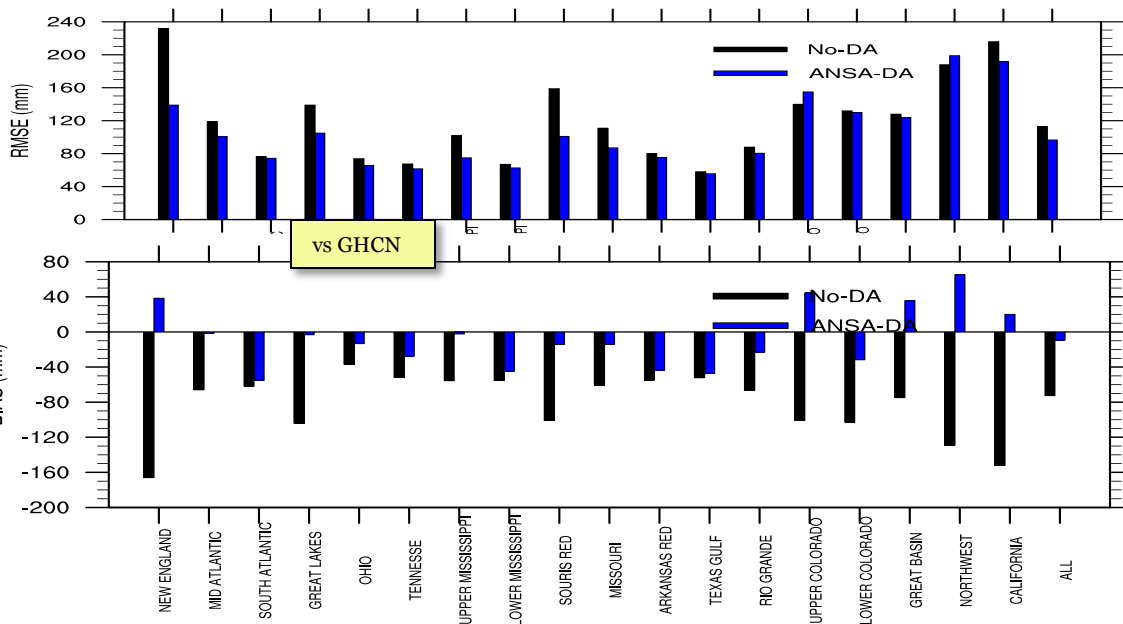
**Figure 1:** Snow water equivalent (SWE) based on Terra/MODIS and Aqua/AMSR-E. Future observations will be provided by JPSS/VIIRS and DWSS/MIS.

## Data Assimilation:

- SMMR (spans 1978-1987), SSM/I (spans 1987-2002) and AMSR-E (spans 2002-2011); SMMR and SSM/I retrievals are based on the Chang et al. (1987) and AMSR-E retrievals are based on the improved retrieval algorithm from Kelly et al. (2009).
- AMSR-E retrievals are further improved by combining the information from MODIS snow cover retrievals – a product known as ANSA (AFWA NASA snow algorithm; Foster et al. 2010).

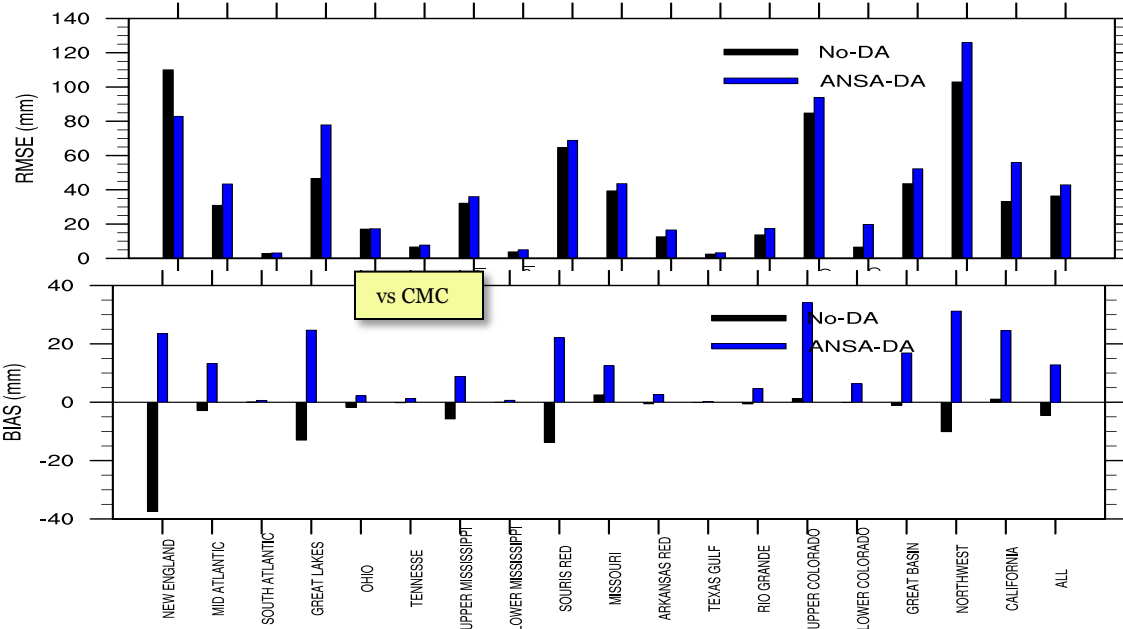


# Snow DA (ANSA) : Evaluation of snow depth fields



Snow depth (GHCN)	Open loop (no DA)	ANSA DA
RMSE (mm)	113 +/- 10.0	<b>72.6 +/- 10.0</b>
Bias (mm)	-96.6 +/- 10.0	<b>-92.9 +/- 10.0</b>

Snow depth (CMC)	Open loop (no DA)	ANSA DA
RMSE (mm)	<b>36.4 +/- 5.0</b>	42.9 +/- 5.0
Bias (mm)	<b>-4.58 +/- 3.0</b>	12.8 +/- 3.0

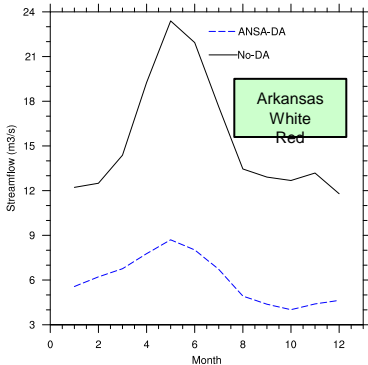
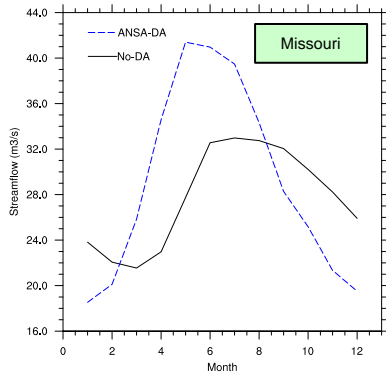
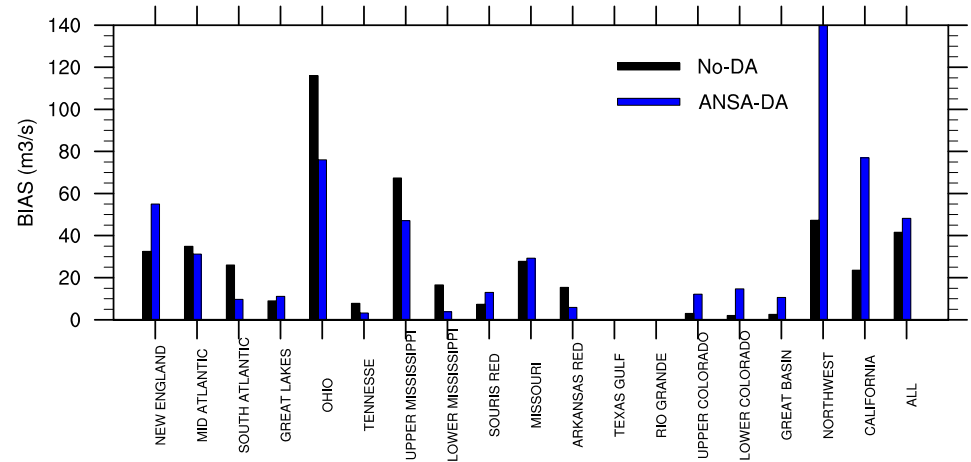


GHCN comparison indicates improvements across most basins, whereas CMC comparison indicates that improvements are limited to a few basins.

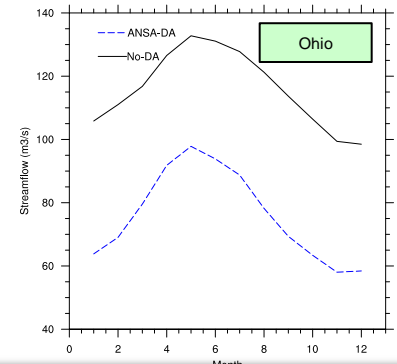
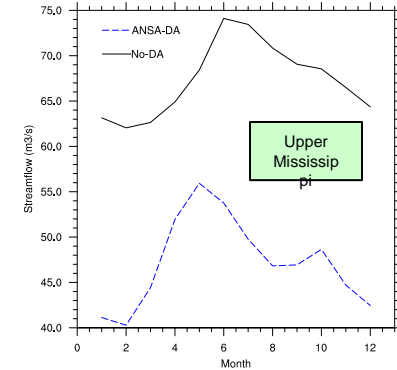
CMC comparison also indicates that ANSA-DA overcorrects the underestimation of snow depth estimates in the no-DA simulation.

# Snow DA (ANSA): Evaluation of streamflow

Streamflow (USGS)	Open loop (no DA)	LPRM DA
RMSE (m3/s)	<b>50.8 +/- 4.0</b>	66.1 +/- 4.0
Bias (m3/s)	<b>41.2 +/- 4.0</b>	48.2 +/- 4.0

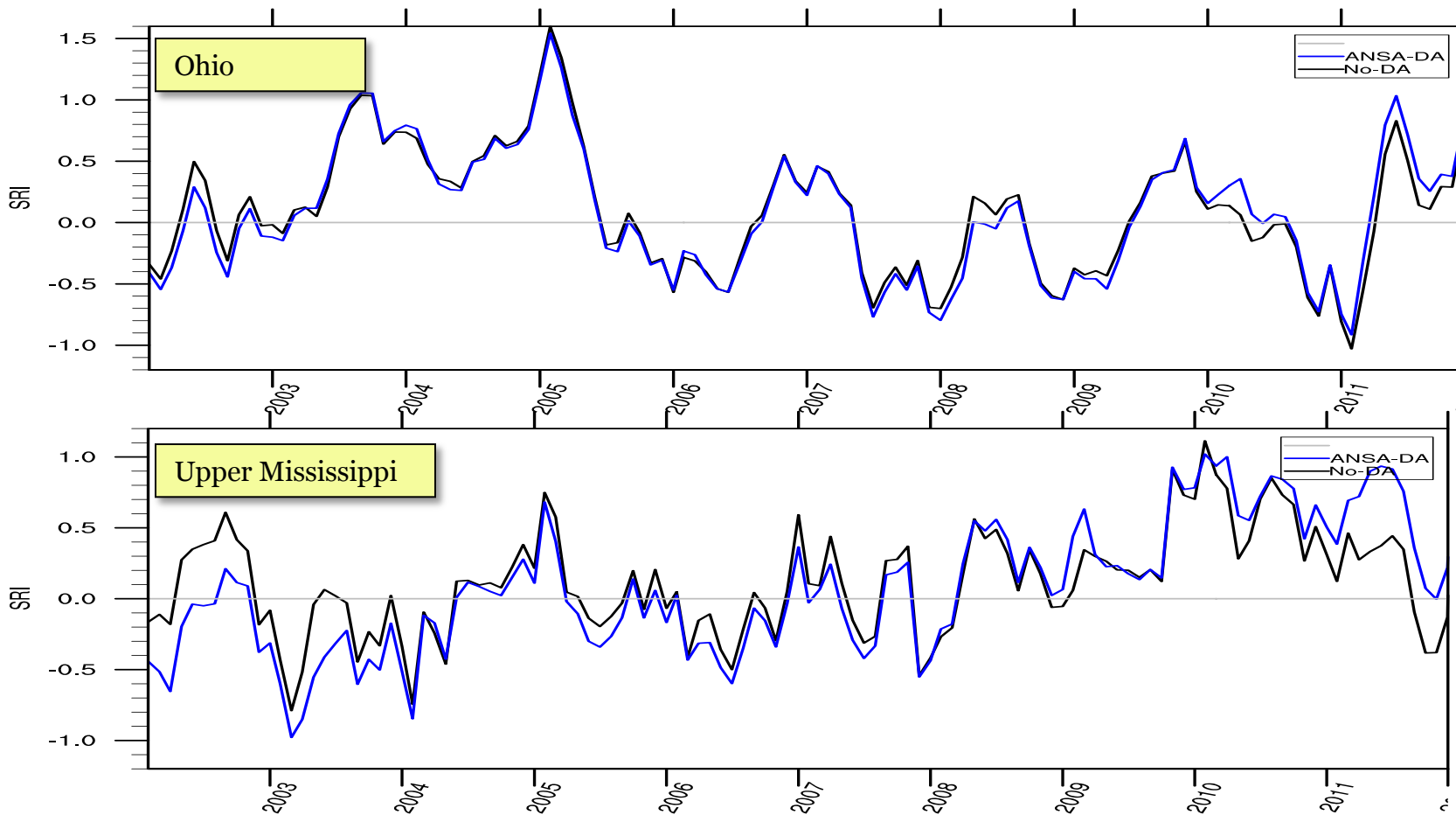


Average seasonal cycle of RMSE



Significant improvements to the streamflow simulations are observed in Ohio, Upper Mississippi, Significant degradations in Northwest and California.

# Snow DA (ANSA) : Drought indices (basin averages)



Over both basins, DA estimates increased drought in early 2000s and reduced drought 2009-2011.

Note: The fitted distributions for SRI in this analysis are computed by using 2002-2011 period.

## Summary

- LPRM AMSR-E Soil moisture assimilation can improve soil moisture, streamflow and evapotranspiration (not shown, see Peters-Lidard et al., 2011)
- Soil moisture assimilation has a significant effect on drought metrics such as
- Bias-corrected AMSR-E Snow depth assimilation improves snow depth and streamflow. Other results (not shown) show some potential for MODIS/SCA, especially in snow transition regions or spring snowmelt.
- Snow assimilation has a significant effect on drought metrics such as

# Next Steps

- **Call to join “Objective/Optimal Blends of Multiple Drought Indices in the United States” - an Initiative**
- **Co-organizer:** Christa Peters-Lidard (NASA), Michael Ek (NCEP), and Youlong Xia (NCEP)
- **Contact Point:** Youlong Xia (NCEP): [Youlong.Xia@noaa.gov](mailto:Youlong.Xia@noaa.gov), David Mocko (NASA): [David.Mocko@nasa.gov](mailto:David.Mocko@nasa.gov)
- **Goal:** To develop objective/optimal blends of multiple drought indices to support U.S. operational drought monitoring and prediction, in particular to support U.S. Drought Monitor (USDM) and CPC’s Experimental Objective Blends of Drought Indicators
- **Objectivity:** Objective and reproducible (repeatable)
- **Expected delivery product:**
  - one package including optimization algorithm, suggested drought indices used, and optimal weight coefficients (subjective to drought indices and state) which is able to be used for improving CPC’s objective blends of Drought Indicators
  - One reference drought index (USDM-based) for research community
  - Long-term (30 years or longer) drought index reconstruction (USDM-based)

# Additional References

- Peters-Lidard, C.D, S.V. Kumar, D.M. Mocko, Y. Tian, 2011: Estimating evapotranspiration with land data assimilation systems, *Hydrological Processes*, 25(26), 3979--3992, DOI: 10.1002/hyp.8387
- Yatheendradas, S., C.D. Peters-Lidard, V.I. Koren, B. Cosgrove, L.G.G. de Goncalves, M.B. Smith, J. Geiger, Z. Cui, J. Borak, S. Kumar, D. Toll, G.A. Riggs and N. Mizukami, 2012 . Distributed assimilation of satellite-based snow extent for improving simulated streamflow in mountainous, dense forests: An example over the DMIP2 western basins. *Water Resources Research* DOI:10.1029/2011WR011347
- Kumar, S.V., R.H. Reichle, K.W. Harrison, C.D. Peters-Lidard, S.Yatheendradas, J. Santanello, 2012: A comparison of methods for a priori bias correction in soil moisture data assimilation. *Water Resources Research*, in press
- Kumar, S.V., C.D. Peters-Lidard, J. Santanello, K. Harrison, Y. Liu, and M. Shaw, 2012: Land surface Verification Toolkit (LVT) - a generalized framework for land surface model evaluation, *Geosci. Model Dev.* , 5, 869--886, doi:10.5194/gmd-5-869-a
- De Lannoy, G., R.H. Reichle, K.R. Arsenault, P.R. Houser, S.V. Kumar, N.E.C. Verhoes, V.R.N. Pauwels , 2012: Assimilation of AMSR-E snow water equivalent and MODIS snow cover fraction in Northern Colorado. *Water Resources Research*, 48, W01522, 18 PP. doi:10.1029/2011WR010588