

Post-Processing of Week 2 GFSv12 Heat Forecasts via Neural Nets

47th Climate Diagnostics and Prediction Workshop
Session 6, Part 1: Applications of Modern Technologies to S2S Forecasting

Greg Jennrich^{1,2}, Li Xu^{1,2},
Evan Oswald^{1,2}, and Matthew Rosencrans²

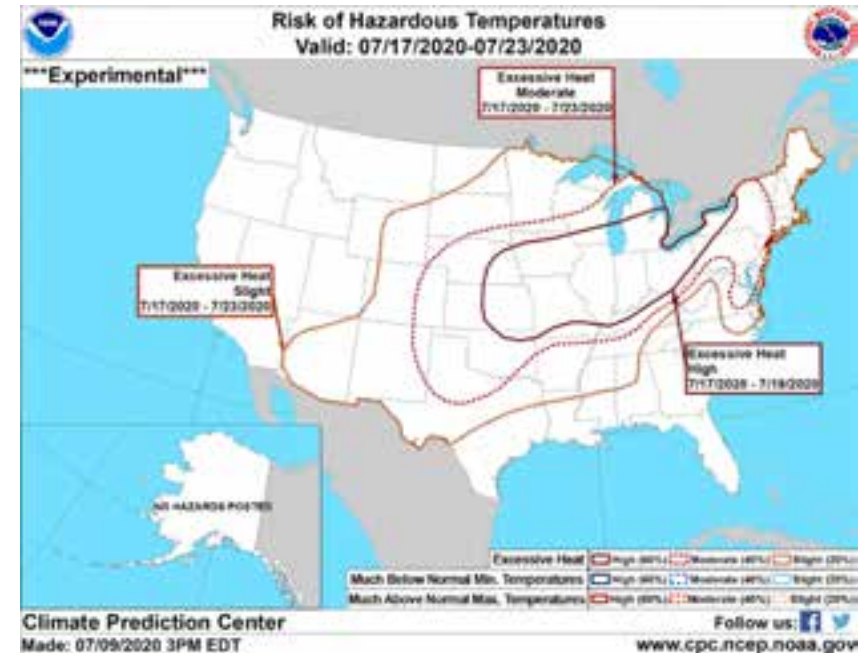
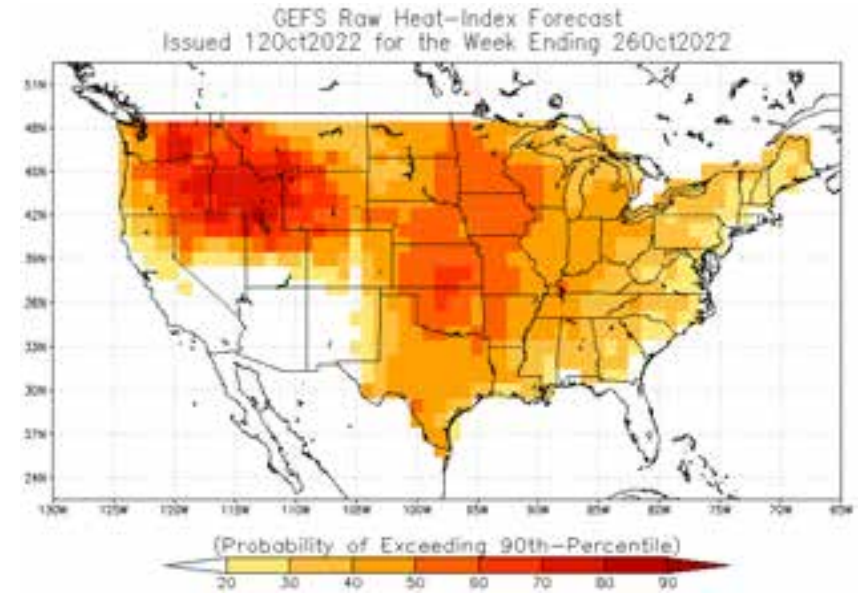
¹ ERT, Inc.

² NOAA Climate Prediction Center



Background

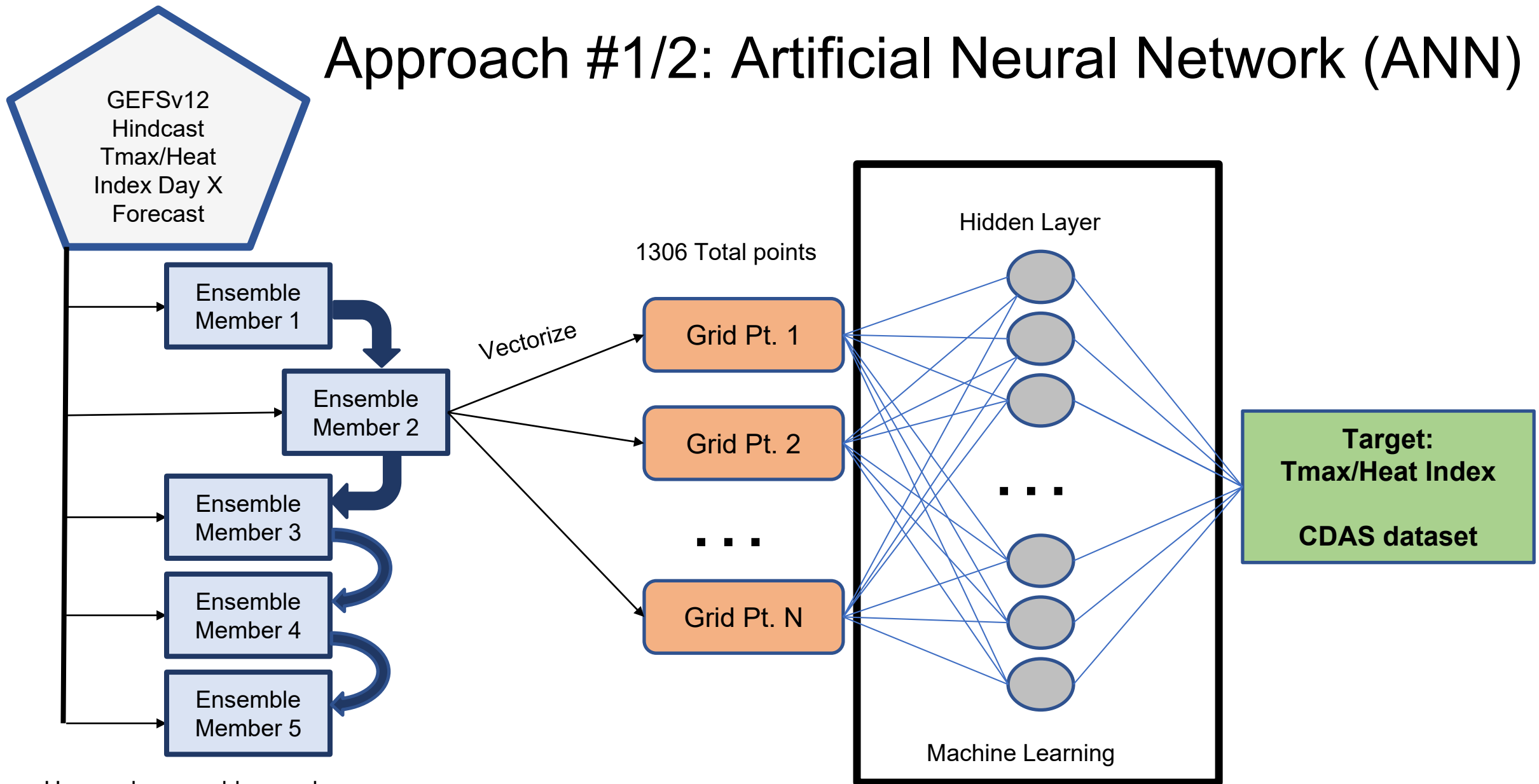
- Heat is the #1 weather related fatality in the United States
- CPC historically has focused on Week 2 anomalies, but is becoming more hazard/impacted minded.
- Like many others, CPC has started to explore the utilities of machine learning (ML) based approaches to our forecast problems.
- **Goal:** Complete initial exploration and development of a ML based extreme heat tool.



Data

- **Objective:** Improve on GEFsv12 Tmax and Heat Index forecasts via ML
- Summer period: April 9th- September 20th initialization dates (165/year)
- Initial model to be tested: Week 2 **GEFSv12** Tmax/Heat Index
 - *Hindcast:* 5 ensemble members (2000-2020)
 - *Realtime Verification:* 124 ensemble members (2021)
- Target data: **CDAS** Tmax/Heat Index Reanalysis
- 3 ML Techniques: Neural Nets (NN) via TensorFlow
 1. ANN bias correction of GEFsv12 forecasts
 2. ANN bias correction for specific heat products
 3. Deep Learning (DL) model with additional inputs.

Approach #1/2: Artificial Neural Network (ANN)



Use each ensemble member as an independent sample

Sample size = # of initialization dates * 7 forecast days * 5 ensemble members

Approach #1: Daily Forecast

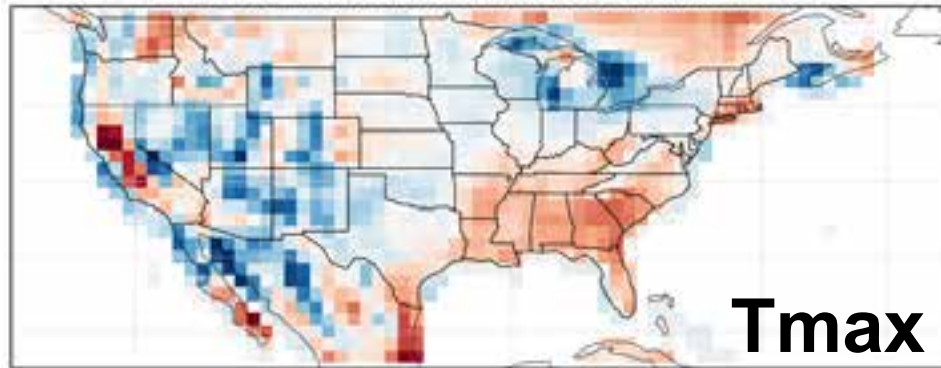
- Bias in the ensemble mean (GEFS vs. ML) in the 4 Validation summers
- Mean Absolute Error (MAE) greatly reduced.

- Train: 17 hindcast summers
- **Validate: 4 hindcast summers**
- Test: 2021 realtime summer

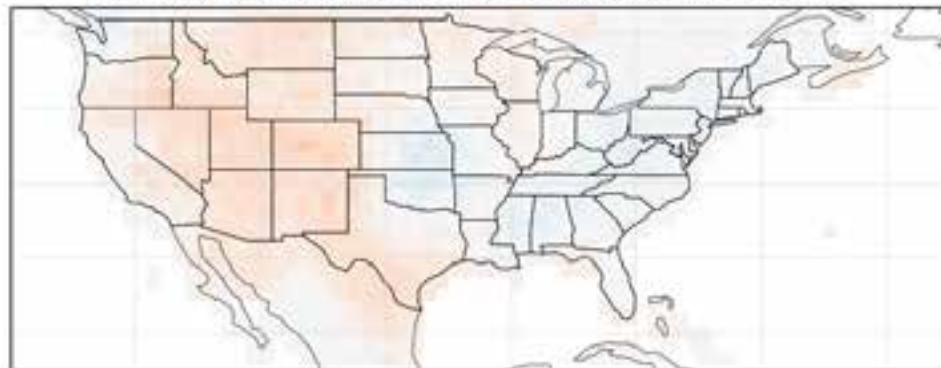


Weekly Max Tmax Forecast
(All days, Ensemble mean)

GEFSv12 Max Tmax Forecast MAE: 2.83

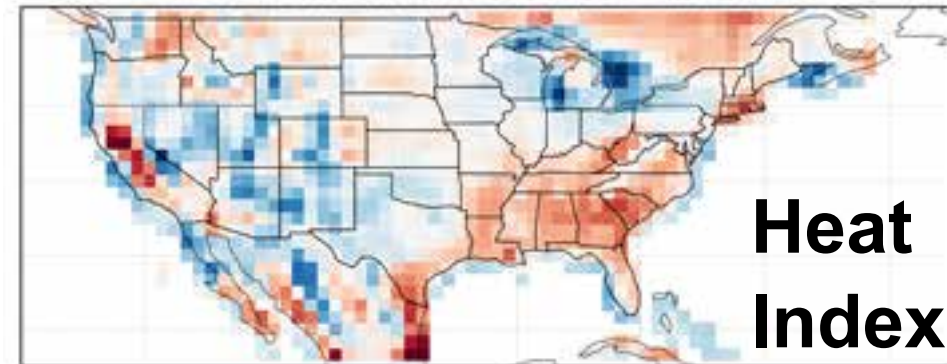


NN Corrected Max Tmax Forecast MAE: 0.81

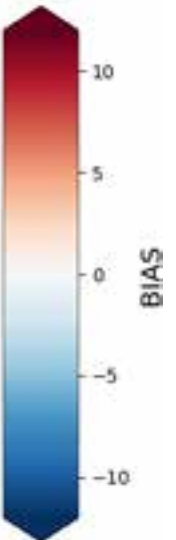
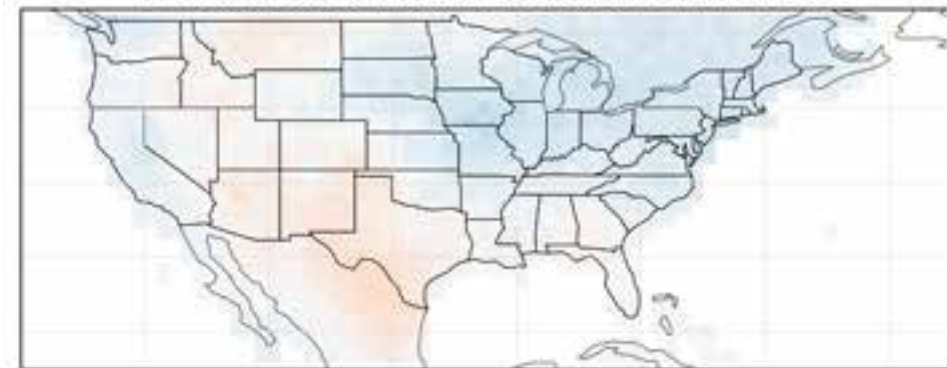


Weekly Max HI Forecast
(All days, Ensemble mean)

GEFSv12 Max HI Forecast MAE: 2.77



NN Corrected Max HI Forecast MAE: 1.03



Results: Approach 1

Approach #1: Daily Forecast

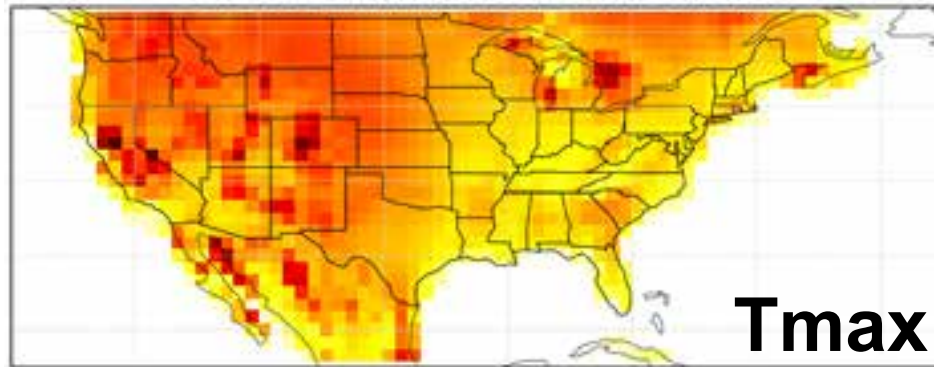
- RMSE in the ensemble mean (GEFS vs. ML) in the 4 Validation summers
- Error is reduced greatly reduced

- Train: 17 hindcast summers
- **Validate: 4 hindcast summers**
- Test: 2021 realtime summer

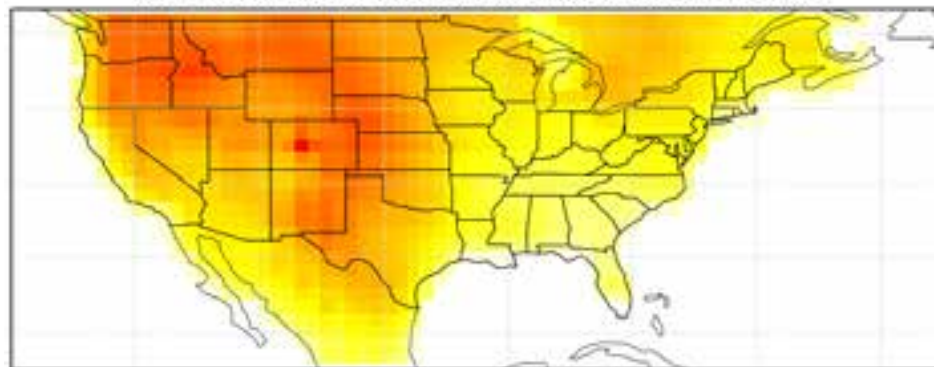


Week 2 Tmax Forecast
(All days, Ensemble mean)

GEFSv12 Tmax Forecast RMSE: 8.51

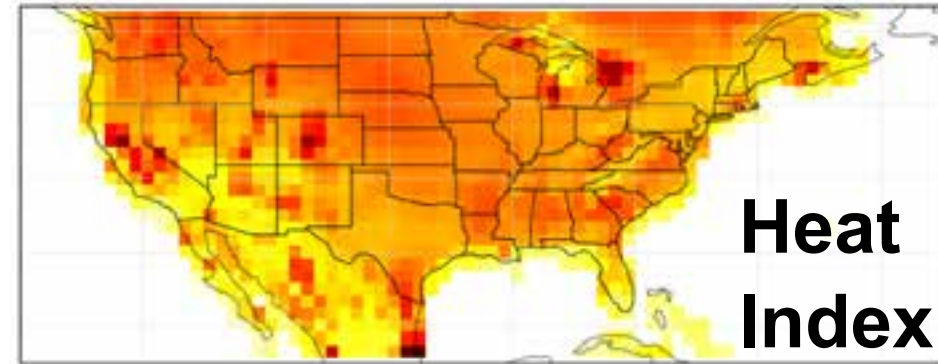


NN Corrected Tmax Forecast RMSE: 6.98

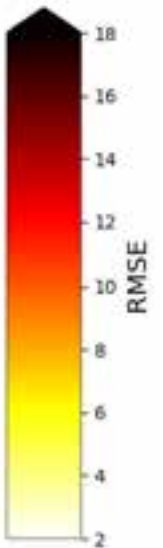
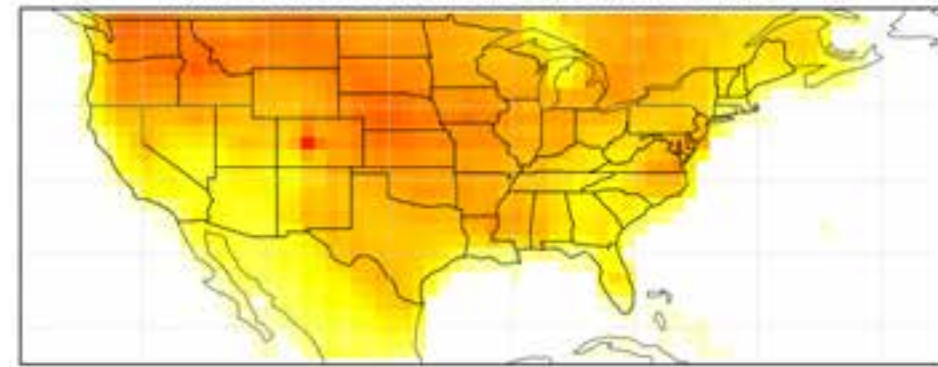


Week 2 HI Forecast
(All days, Ensemble mean)

GEFSv12 HI Forecast RMSE: 8.71



NN Corrected HI Forecast RMSE: 7.19



Approach #1: Daily Forecast

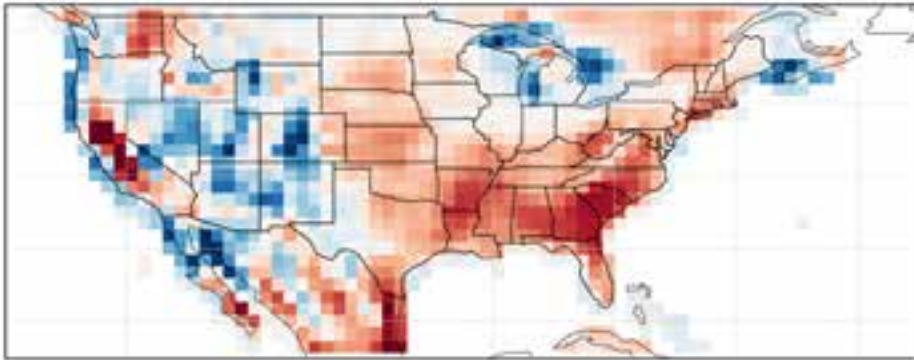
- 2021 Realtime testing dataset for Tmax
- Both metrics show pretty good improvement.

- Train: 17 hindcast summers
- Validate: 4 hindcast summers
- **Test: 2021 realtime summer**

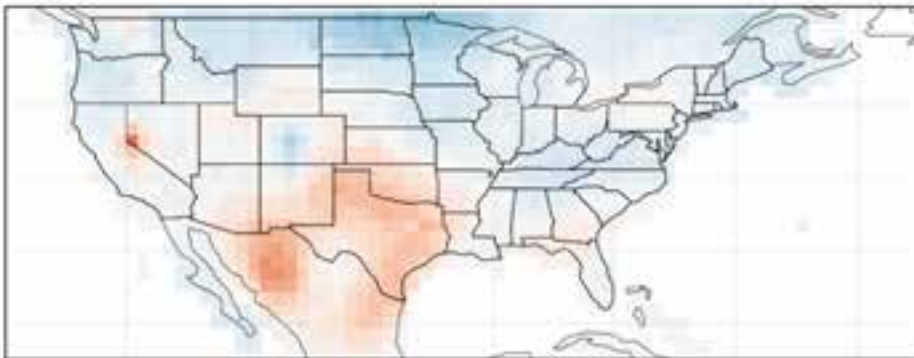


Week 2 Tmax Forecast
(All days, Ensemble mean)

GEFSv12 ENS Mean Tmax Forecast MAE 3.54

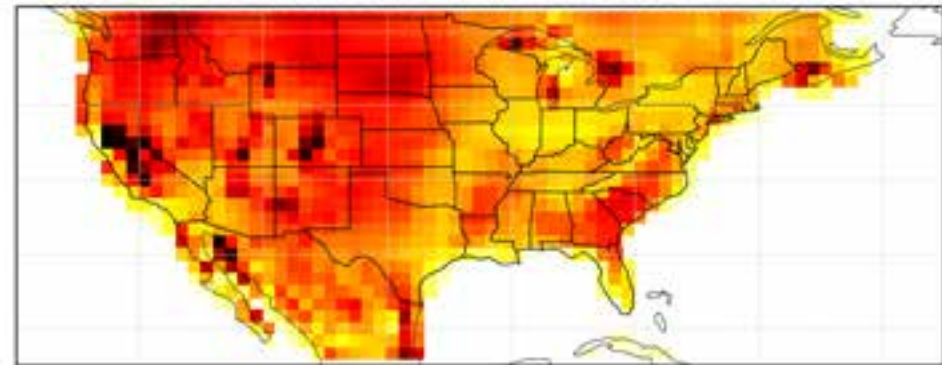


NN Corrected ENS Mean Tmax Forecast MAE 1.43

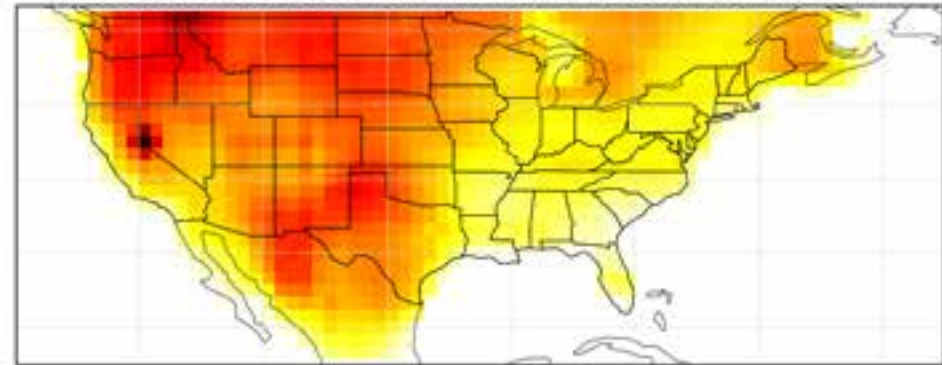


Week 2 Tmax Forecast
(All days, Ensemble mean)

GEFSv12 ENS Mean Tmax Forecast RMSE: 8.23



NN Corrected ENS Mean Tmax Forecast RMSE: 6.75



What about for Extreme Heat?

Let's look at two extreme heat products:

1. Week 2 Maximum Temperature
2. Probability of $\geq 100\text{F}$ during Week 2

Approach #1: Week 2 Max

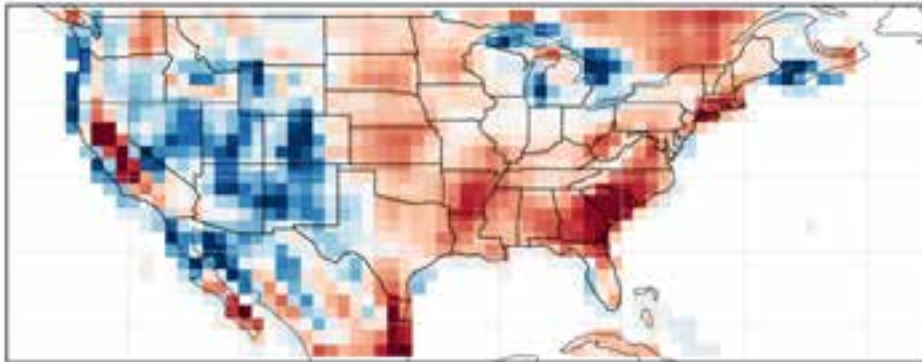
- The improved results do not translate to weekly maximums
- Pretty large cool bias

- Train: 17 hindcast summers
- Validate: 4 hindcast summers
- **Test: 2021 realtime summer**

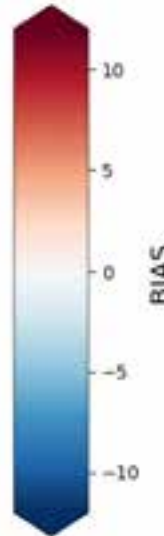
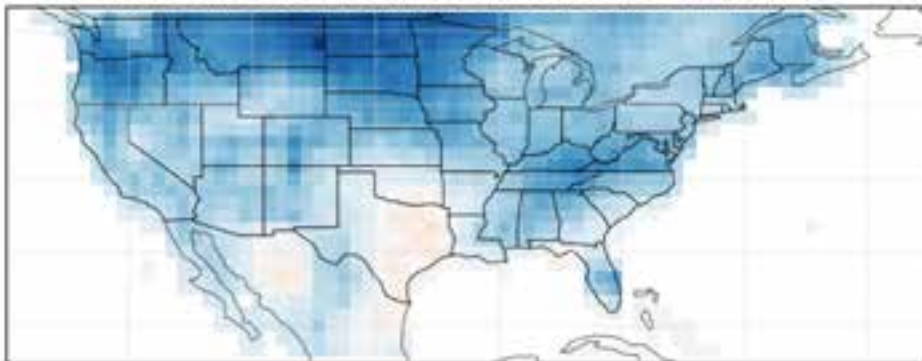


Week 2 Max Tmax Forecast
(Ensemble mean)

GEFSv12 Max Tmax Forecast MAE 4.14

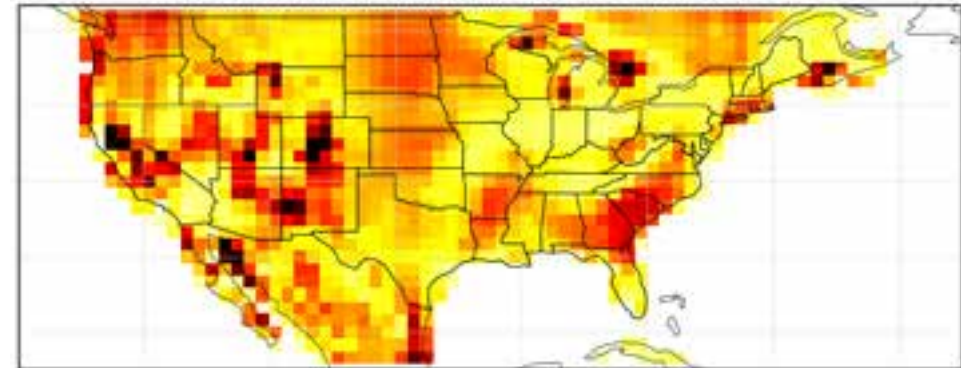


NN Corrected Max Tmax Forecast MAE 4.43

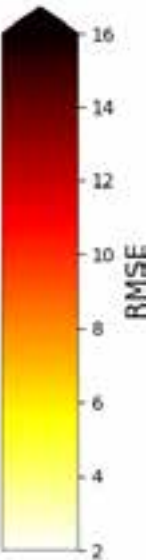
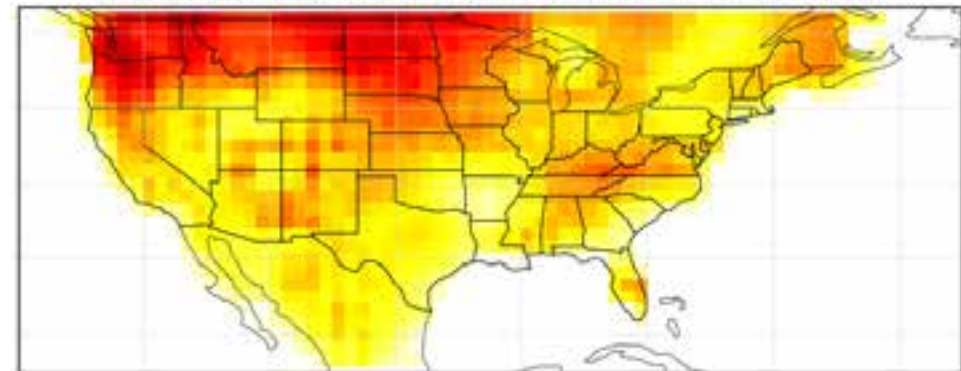


Week 2 Max Tmax Forecast
(Ensemble mean)

GEFSv12 Max Tmax Forecast RMSE: 6.71



NN Corrected Max Tmax Forecast RMSE: 6.45



Approach #1: Probability $\geq 100F$

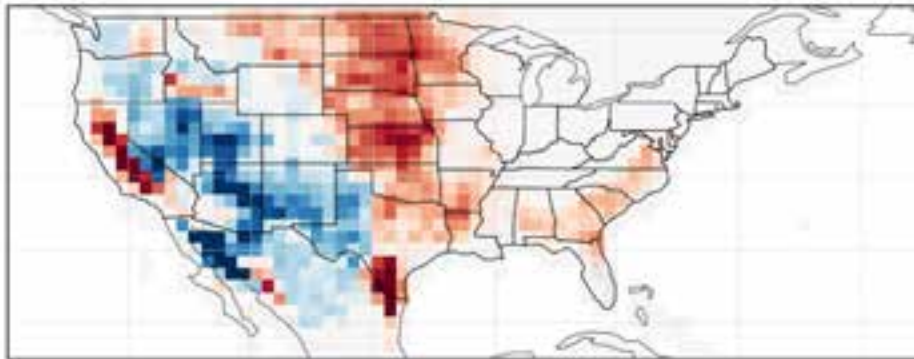
- Train: 17 hindcast summers
- Validate: 4 hindcast summers
- **Test: 2021 realtime summer**

- This model does not predict enough 'hot' temperatures
- AUC-ROC = Area Under the ROC Curve \rightarrow Measure of classification skill ($\geq 100F$ or not)



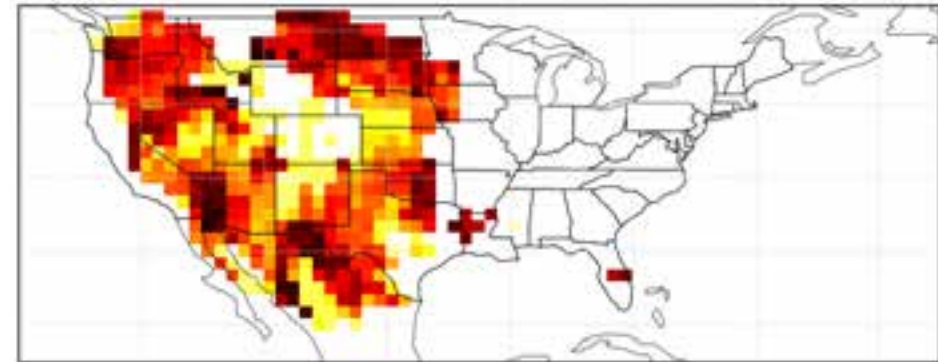
Week 2 Max Tmax Forecast
(Ensemble mean)

GEFSv12 Max Tmax Forecast MAE 0.1

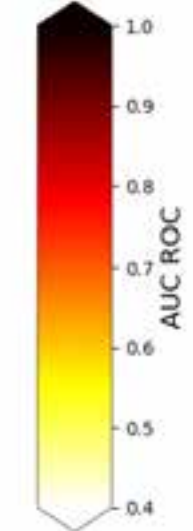


Probability of $\geq 100F$ Tmax AUC ROC
based on 100F observations

GEFSv12 Max Tmax Forecast AUC-ROC: 0.7

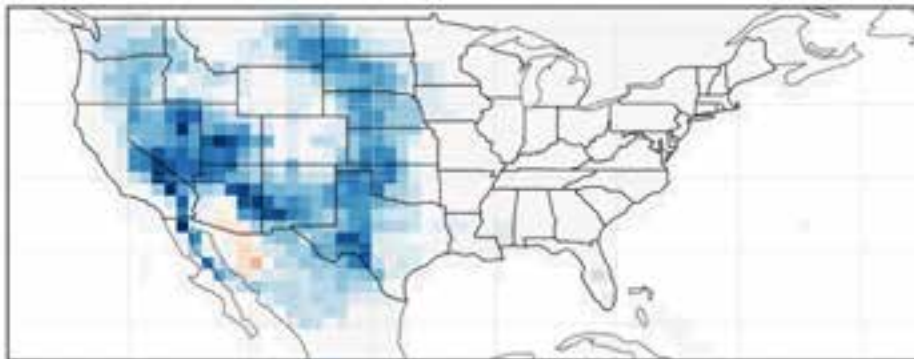


Skillful

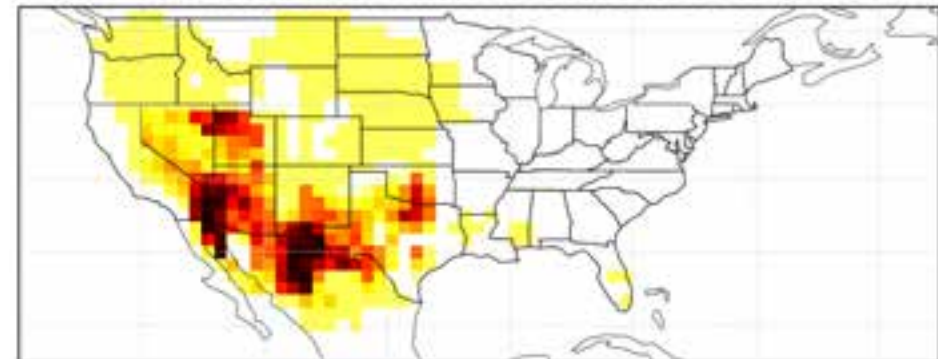


No skill

NN Corrected Max Tmax Forecast MAE 0.07



NN Corrected Max Tmax Forecast AUC-ROC: 0.57

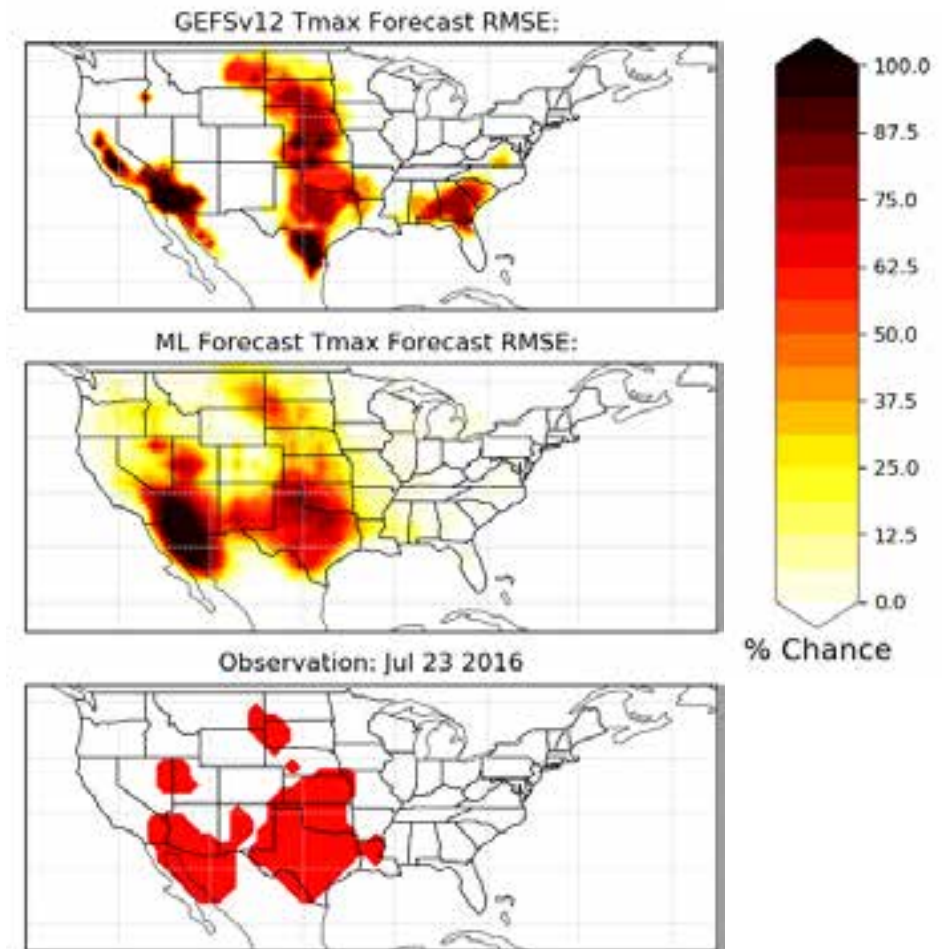


Results: Approach 2

Approach #2: Product Specific Models

- Instead of using one ML model and then calculating heat products, can we use ML models to directly improve these products
- Let's test these two heat products:
 1. Week 2 Maximum Temperature ML model
 2. Probability of $\geq 100\text{F}$ during Week 2 ML model

Week 2 Tmax Forecast Above 100F



Approach #2: Week 2 Max

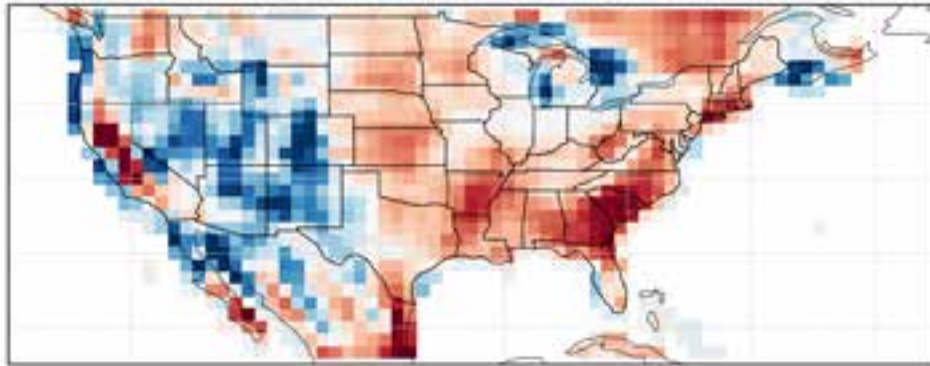
- Train: 17 hindcast summers
- Validate: 4 hindcast summers
- **Test: 2021 realtime summer**



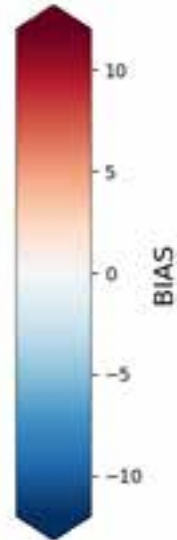
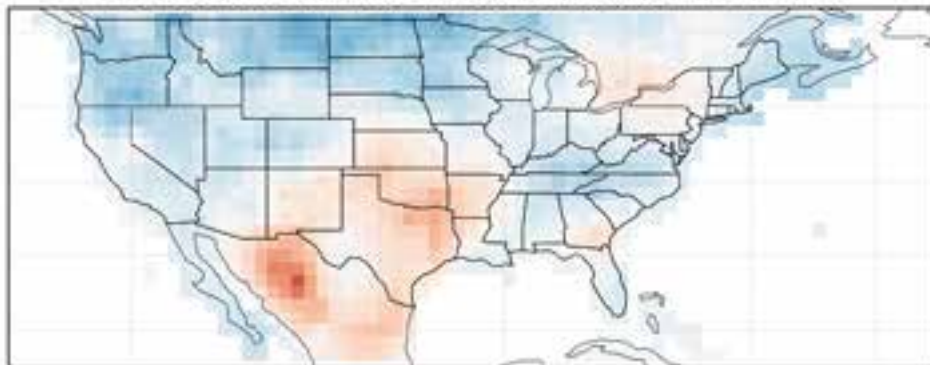
- Much better than the corresponding results on slide 9

Weekly Max Tmax Forecast
(Ens Mean)

GEFSv12 Max Tmax Forecast MAE: 4.14

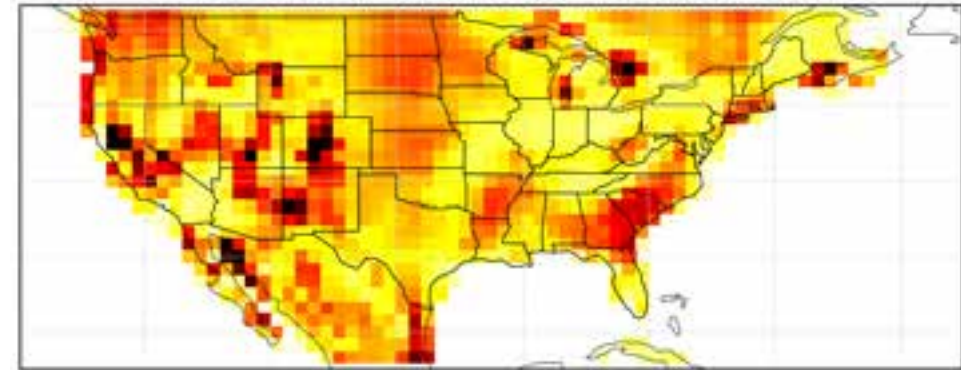


NN Corrected Max Tmax Forecast MAE: 2.08

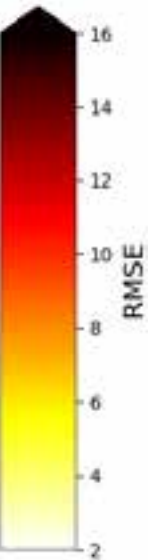
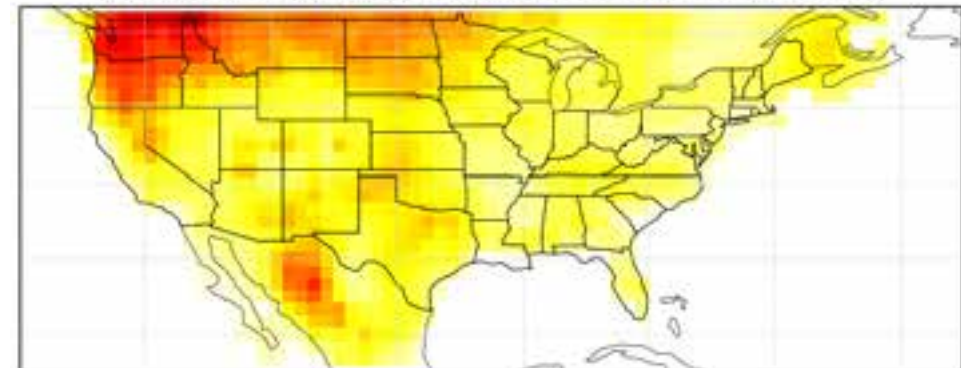


Weekly Max Tmax Forecast
(Ens Mean)

GEFSv12 Max Tmax Forecast RMSE: 6.71



NN Corrected Max Tmax Forecast RMSE: 5.12



Approach #2: Probability $\geq 100F$

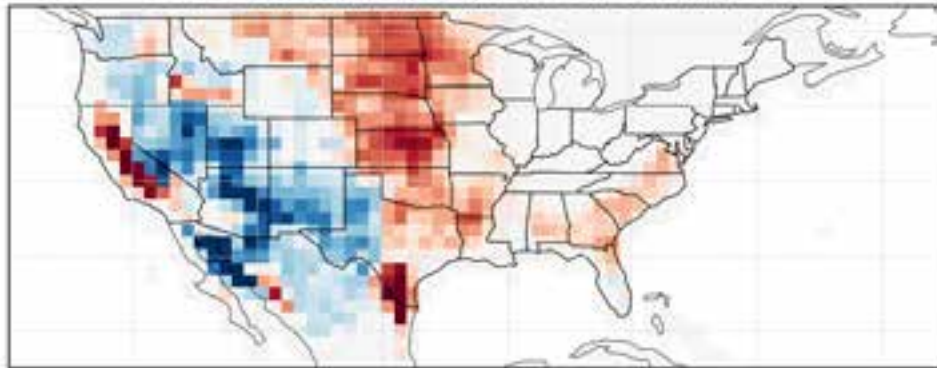
- Train: 17 hindcast summers
- Validate: 4 hindcast summers
- **Test: 2021 realtime summer**

- Much better than the corresponding results on slide 10
- AUC-ROC = Area Under the ROC Curve \rightarrow Measure of classification skill ($\geq 100F$ or not)

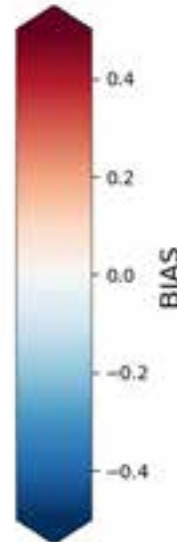
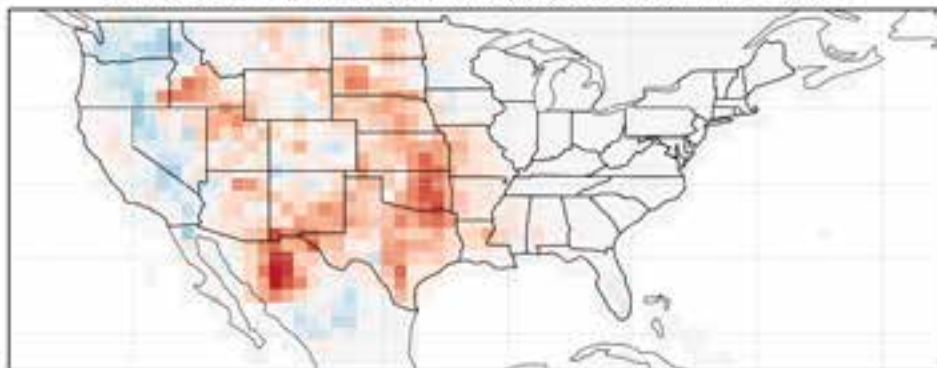


Weekly Max Tmax Forecast
(Ens Mean)

GEFSv12 Max Tmax Forecast MAE: 0.1

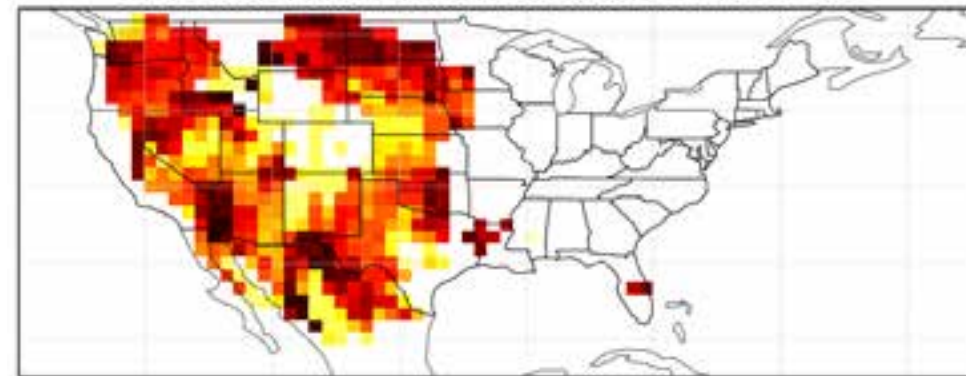


NN Corrected Max Tmax Forecast MAE: 0.05

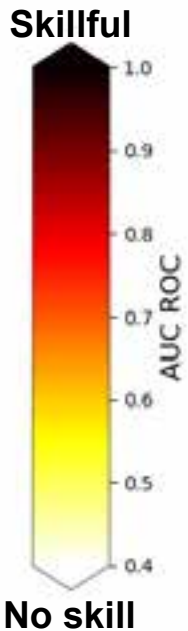
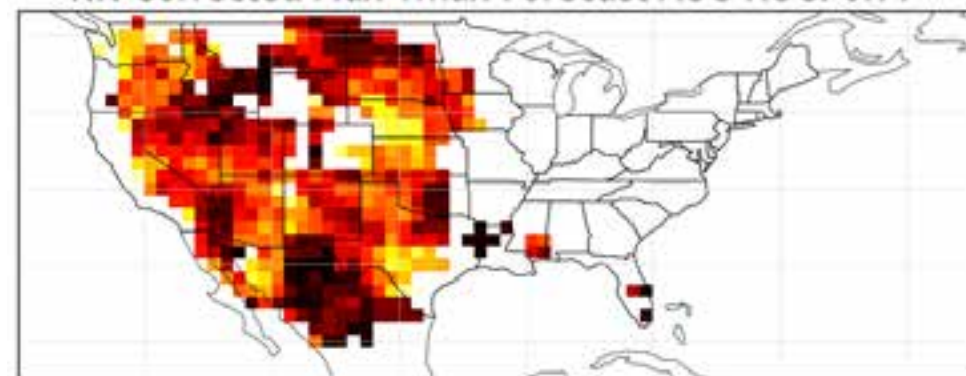


Probability of $\geq 100F$ Tmax AUC ROC
based on 100F observations

GEFSv12 Max Tmax Forecast AUC-ROC: 0.7



NN Corrected Max Tmax Forecast AUC-ROC: 0.77

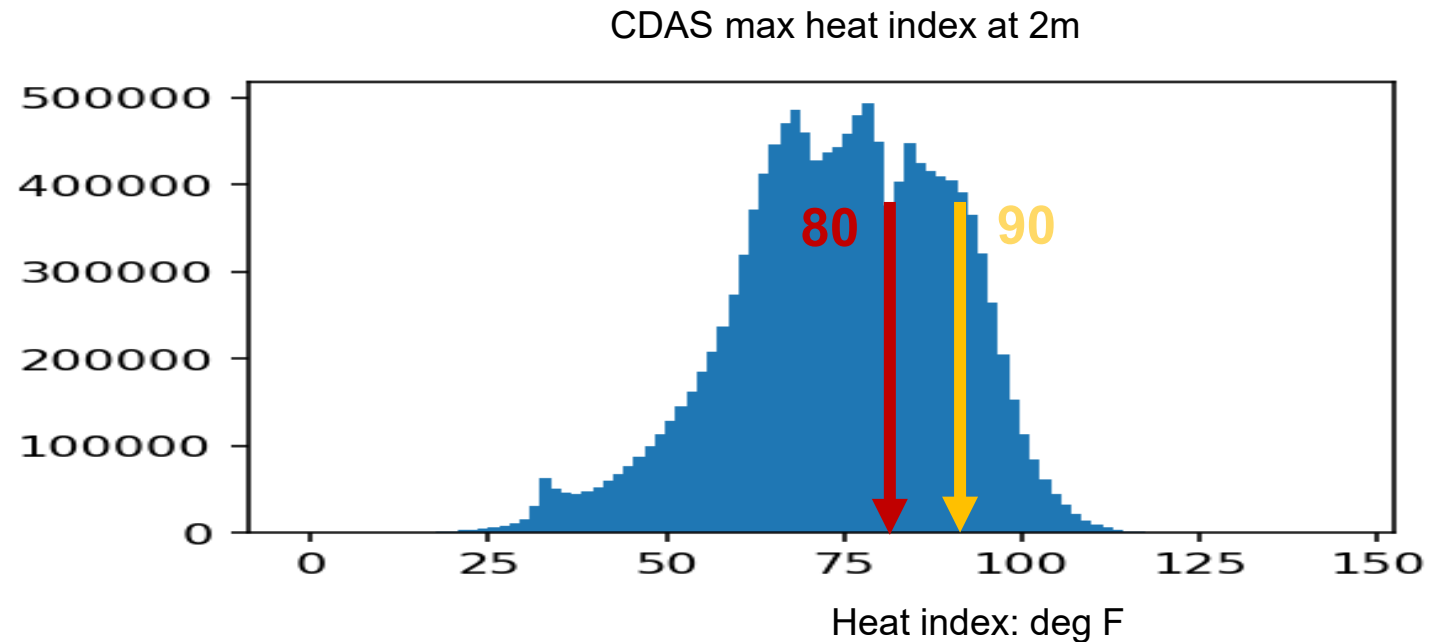


Results: Approach 2

Approach #3: The Long tail paradox

Work by Li Xu

- Since we are focused on impactful heat, we focused on only adjusting the tails of the distribution
- Tmax/Hlmax > 80F
 - Focus on Right tail (~28.4% total samples)
 - Total 23.1M grid-point sample forecasts during 2000-2019 hindcast period
- Tmax/Hlmax > 90F
 - ~9.0% total sample forecasts
 - 7.3M grid-point sample forecasts
- Train points with a Deep Learning Net (DLN), one for each variable.



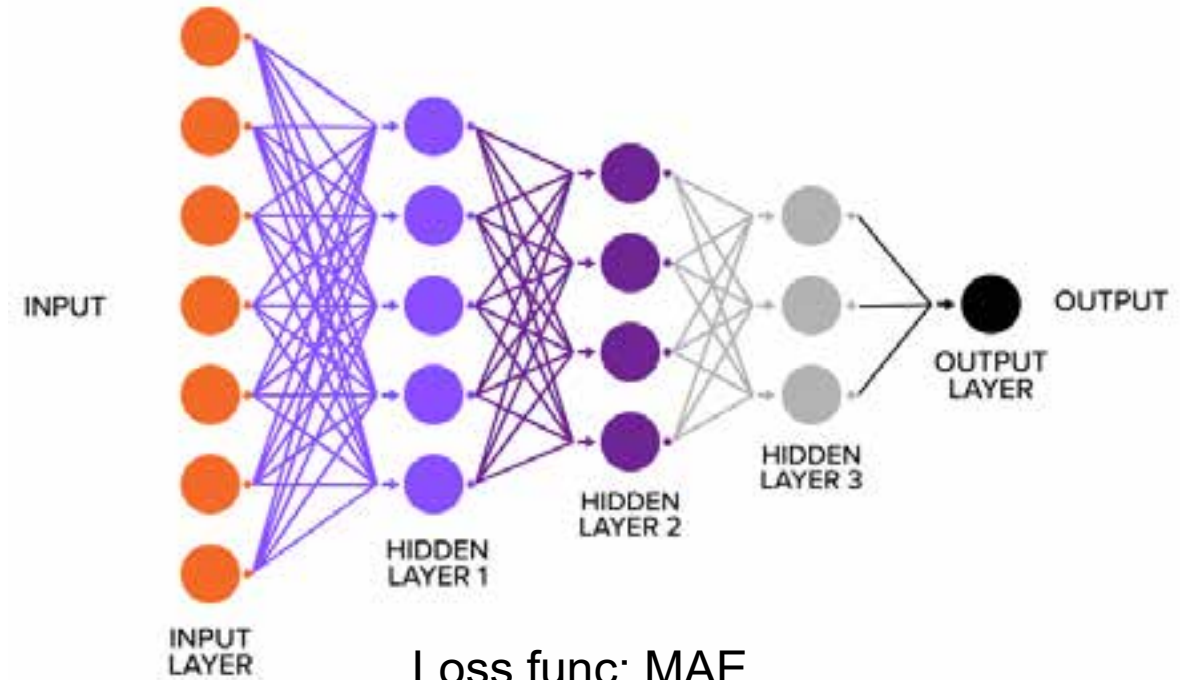
Histogram of Daily max Heat Index over the CONUS, data source: CDAS

Deep learning Input (Predictor) for Tmax/Hlmax

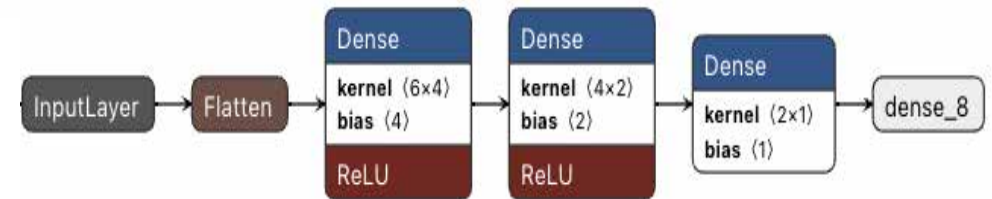
- **Model guidance**
 1. GEFsv12 Heat Index
 2. GEFsv12 Tmax
 3. Relative humidity (implicit)
 4. ~~blocking index (Tibaldi and Molteni 1990)~~
- **Geographic and seasonal information**
 1. Latitude / Longitude
 2. Elevation (DEM)
 3. ~~Vegetation type (fraction)~~
 4. The Day of year (normalize with distance to Aug 1st)
 5. Forecast leading (8-14)
- **Low Boundary forcing(physics driver)**
 1. Soil Moisture (Standardized SM Index from LB model)
 2. ENSO (nino3.4 index)

Tried, but didn't work out

DEEP LEARNING WITH HIDDEN LAYERS



Loss func: MAE

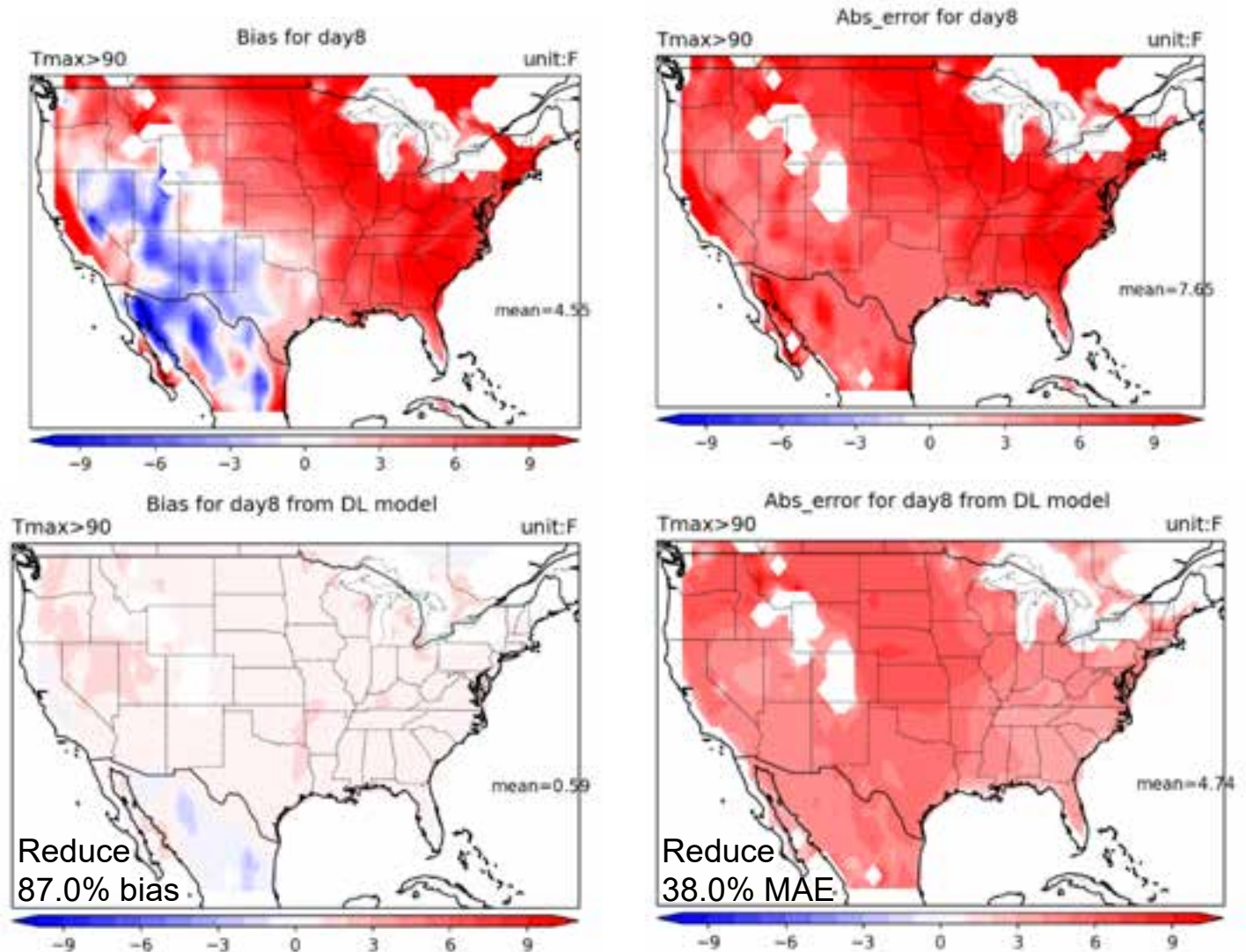


Results: Approach 3

Approach #3: Daily Forecast

- Train: 17 hindcast summers
- **Validate: 4 hindcast summers**
- Test: 2021 realtime summer

- Day 8 Forecast ensemble bias and mean absolute error (MAE) of the GEFS and the >90F Deep Layer model.
- Only scoring the points above 90F, thus the sample size is not equal for each point
- The DL model show improvements for Bias and MAE

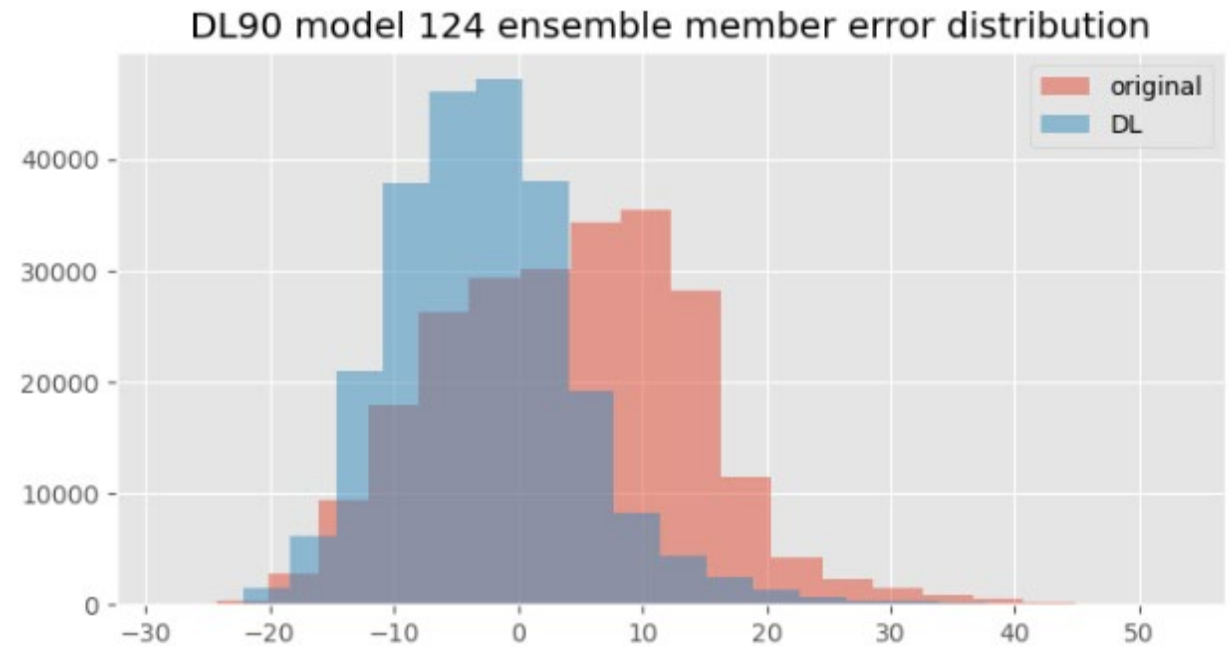


Results: Approach 3

Approach #3: Daily Forecast

- Train: 17 hindcast summers
- Validate: 4 hindcast summers
- **Test: 2021 realtime summer**

- We have just begun to test this model for the 2021 period
- Looking at each DL point, the GEFS bias distribution skews warm
- The DL forecast bias distribution was narrowed and shifted to center near zero, but suggests a small cool bias
- More 2021 testing in progress



Conclusions

- For extreme events, the general ML/AI model that fit for the whole distribution does not work well (approach 1)
 - Works well for the 2 Week average, but not on extreme heat
 - Can't expect to use a ML model on a different product than it was designed for
- We can overcome this issue in two ways:
 - Use heat product specific models (approach 2)
 - We can trim/adjust the model to only fit for the long tail (approach 3)

Future Work

- Further analysis with Approach #3
- Apply and test with other models (ECMWF) and with 2022
- Possible realtime tool in 2023

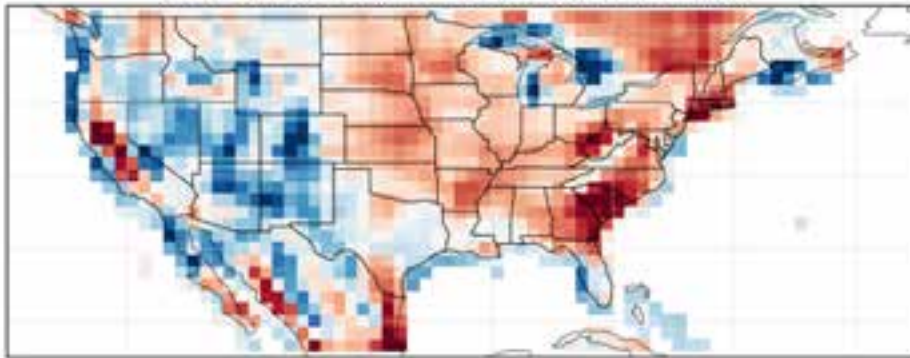
Approach #2: Week 2 Max

- Train: 17 hindcast summers
- Validate: 4 hindcast summers
- **Test: 2021 realtime summer**

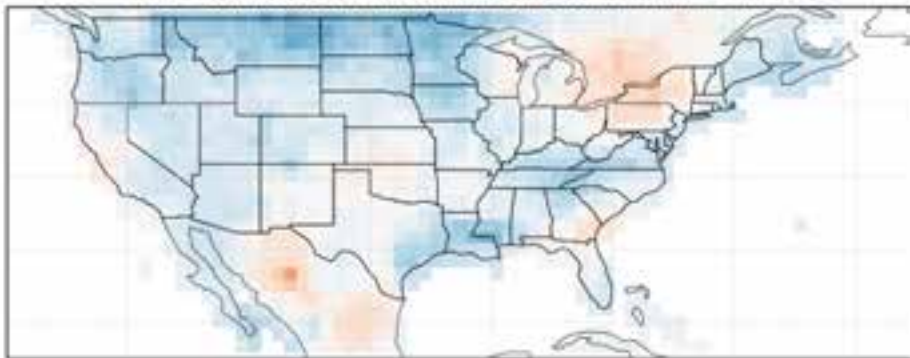


Weekly Max HI Forecast
(Ens Mean)

GEFSv12 Max HI Forecast MAE 4.08

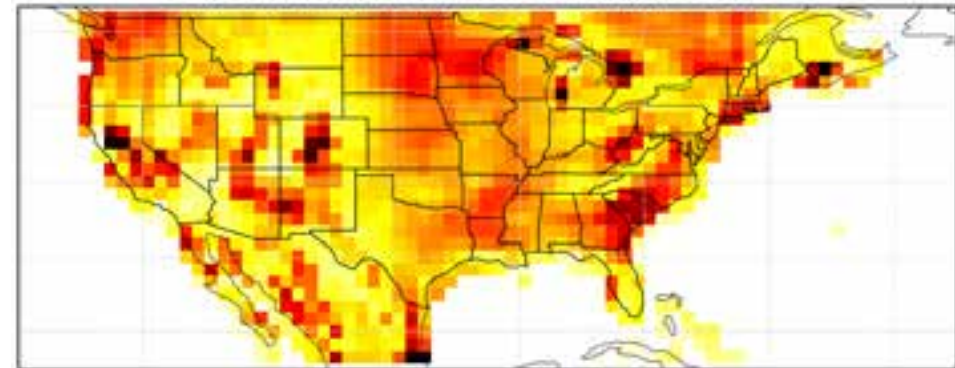


NN Corrected Max HI Forecast MAE 1.86

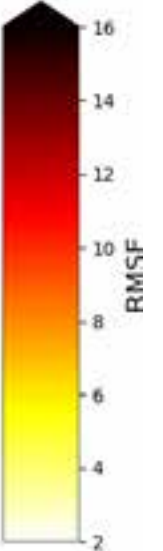
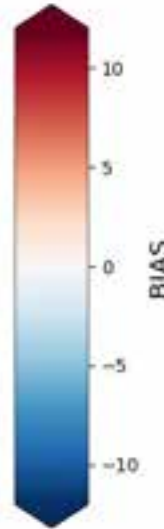
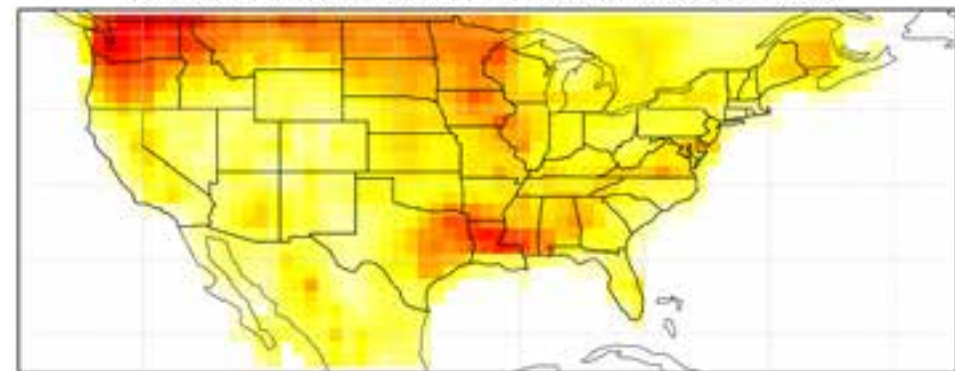


Weekly Max HI Forecast
(Ens Mean)

GEFSv12 Max HI Forecast RMSE: 7.06



NN Corrected Max HI Forecast RMSE: 5.47

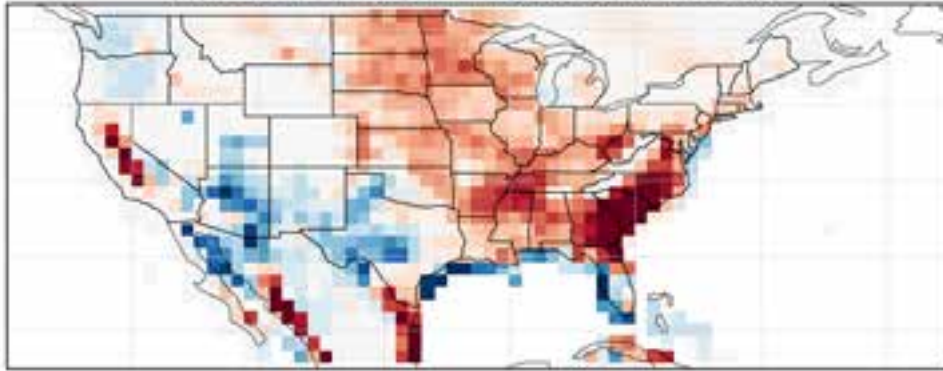


Approach #2: Probability $\geq 100F$

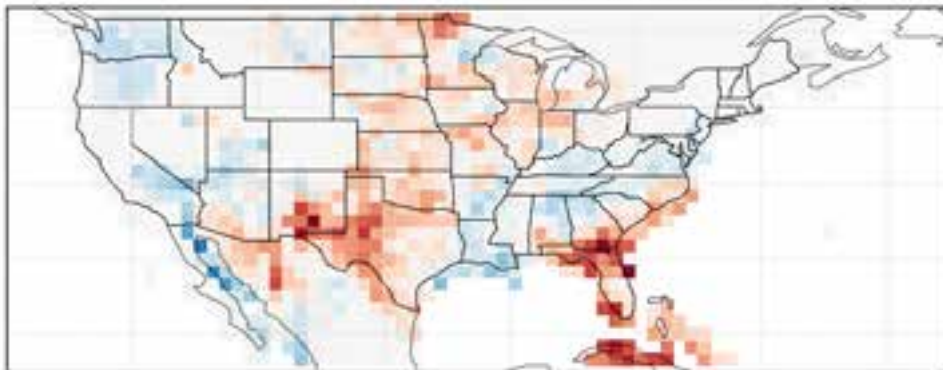
- Train: 17 hindcast summers
- Validate: 4 hindcast summers
- **Test: 2021 realtime summer**

Weekly Max HI Forecast
(Ens Mean)

GEFSv12 Max HI Forecast MAE: 0.12

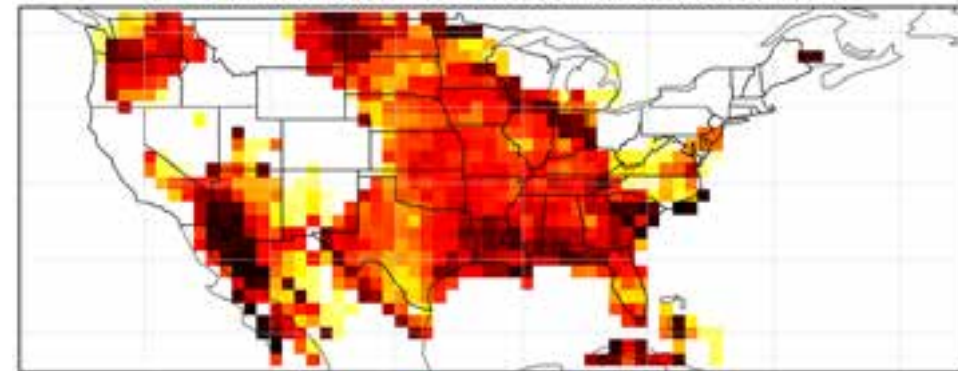


NN Corrected Max HI Forecast MAE: 0.06

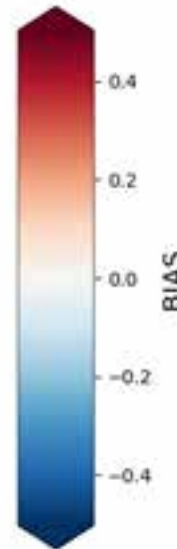
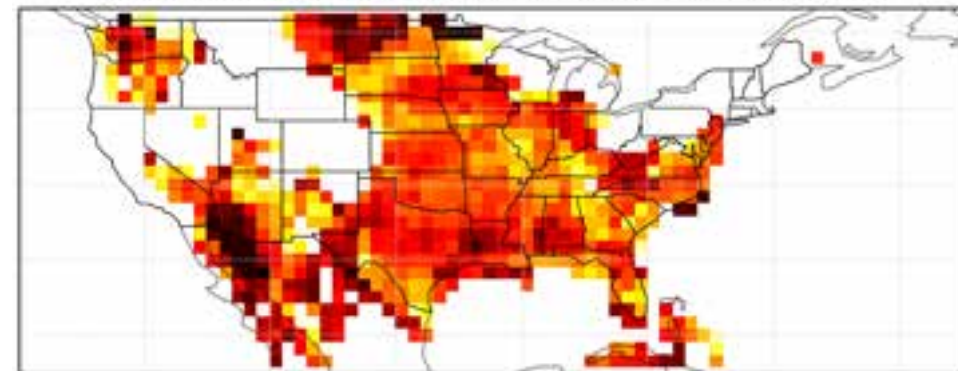


Probability of $\geq 100F$ HI AUC ROC
based on 100F observations

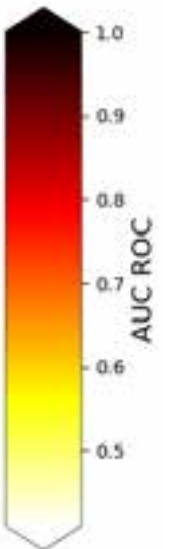
GEFSv12 Max HI Forecast AUC-ROC: 0.76



NN Corrected Max HI Forecast AUC-ROC: 0.74



BIAS



AUC ROC

Simple Bias Correction

- Why go through all the trouble with ML if we can just slap on a simple Bias correction?
- It doesn't provide much improvement in the Root Mean Square Error (RMSE)
- We would need a separate bias correction for each forecast product

